

# Mesoscopic Superconductivity

Cargèse, 11/2016

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→ Theses (under Publications)

Charging effects → P. Lafarge

Proximity, Usadel → S. Guéron

A. Anthore

H. le Sueur

Qubits → A. Cottet

A. Palacios-Laloy

Andreev bound states → L. Bretheau

C. Janvier

J.-D. Pellet

# Mesoscopic Superconductivity

Assume you know superconductivity ... but have forgotten most of it!

Tu AM  
HP

## I. Reminders on BCS theory

→ Cooper pairs - Gap

We PM  
CB

## II. Small superconductors

$$\Delta \rightarrow \xi = \frac{\hbar v_F}{L} \text{ or } \sqrt{\frac{\hbar D}{\Delta}}$$

In a B field: London length  $\lambda_L$  for screening

What happens if  $L < \lambda_L$ ? Role of  $\xi$ ?

Thu AM  
HP + CB

## III. Mesoscopic transport

N conductors → Landauer formalism → LB formula QPC

N-S, S-S weak links ⇒ equivalent description?

→ ABS, Shiba states, Josephson effect, currents and supercurrents

Fri AM  
HP

## IV. Charging effects

Small superconductors with respect to C:  $\frac{(2e)^2}{2C} > \Delta$

→ parity effects. Superconducting qubits

Sa PM  
CB

## V. Proximity effect

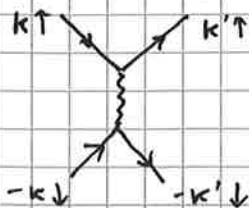
Describe hybrid structure at  $L \lesssim \frac{\hbar D}{E^2}$  for  $E \lesssim \Delta$

# From BCS to BdG: representations of Superconductors

Hughes Pothier, Gargese 11/2016

BCS (1957): based on (phonon-mediated)

attraction between Cooper pairs



$$H = \sum_{\mathbf{k}, \sigma} \underbrace{\epsilon_{\mathbf{k}}}_{\frac{\hbar^2 \mathbf{k}^2}{2m}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} - V \sum_{\mathbf{k}, \mathbf{k}'} c_{\mathbf{k}'\uparrow}^\dagger c_{-\mathbf{k}'\downarrow}^\dagger c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow}$$

Mean-field theory in grand-canonical ensemble:

(fix  $\mu$ , not  $N$ )

$$H - \mu N = \sum_{\mathbf{k}, \sigma} \underbrace{\epsilon_{\mathbf{k}} - \mu}_{\text{energies counted from Fermi level}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} - V \left( \sum_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger \right) \times \left( \sum_{\mathbf{k}} c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} \right)$$

$$D \equiv \sum_{\mathbf{k}} c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} = \langle D \rangle + \underbrace{(D - \langle D \rangle)}_{\equiv d, \text{ assumed small}}$$

$$\begin{aligned} \rightarrow D^\dagger D &= (\langle D^\dagger \rangle + d^\dagger)(\langle D \rangle + d) \\ &= \langle D^\dagger \rangle \langle D \rangle + \underbrace{d^\dagger \langle D \rangle}_{D^\dagger - \langle D^\dagger \rangle} + \underbrace{d \langle D^\dagger \rangle}_{D - \langle D \rangle} + \cancel{d^\dagger d} \\ &\approx D^\dagger \langle D \rangle + D \langle D^\dagger \rangle - \langle D^\dagger \rangle \langle D \rangle \end{aligned}$$

Directly: if  $x$  &  $y$  have small variations around their average,

$$xy = \underbrace{(x - \langle x \rangle)(y - \langle y \rangle)}_{\text{second order}} + x \langle y \rangle + \langle x \rangle y - \langle x \rangle \langle y \rangle$$

Define  $\Delta = -V \langle D \rangle$

$$\Rightarrow -V D^\dagger D \approx \Delta D^\dagger + \Delta^\dagger D + \frac{|\Delta|^2}{V}$$

Transform\* 1st term of  $\mathcal{H}_B = H - \mu N$ ; for  $\sigma = \downarrow$ ,  
 use  $c_{k\downarrow}^\dagger c_{k\downarrow} = 1 - c_{k\downarrow} c_{k\downarrow}^\dagger$  (Because D mixes  
 and instead of  $\sum_k$  do  $\sum_{-k}$   $\left. \begin{array}{l} c_{k\uparrow} \text{ and } c_{-k\downarrow} \end{array} \right\}$

$$\Rightarrow \mathcal{H}_B = \sum_k \mathcal{H}_{Bk} + \frac{|\Delta|^2}{V}$$

where  $\mathcal{H}_{Bk} = (c_{k\uparrow}^\dagger \ c_{-k\downarrow}) \begin{pmatrix} \xi_k & \Delta \\ \Delta^* & -\xi_k \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow}^\dagger \end{pmatrix} + \xi_k$

Quadratic form  $\rightarrow$  can be diagonalized.

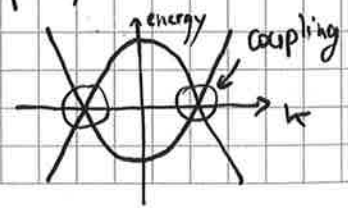
Get rid of spin indices; introduce "electron"

and "holes" operators:  $\begin{cases} e_k^\dagger \equiv c_{k\uparrow}^\dagger \\ h_k^\dagger \equiv c_{-k\downarrow} \end{cases}$

(forget  $k$  indices for a moment)

$$\mathcal{H}_k = (e^\dagger \ h^\dagger) \begin{pmatrix} \xi & \Delta \\ \Delta^* & -\xi \end{pmatrix} \begin{pmatrix} e \\ h \end{pmatrix} + \xi$$

Dispersion for  $e$ :  $\xi$   
 for  $h$ :  $-\xi$



$e-h$  symmetry: solutions at  $\pm E$  for each  $k$ .

Diagonal form  $\mathcal{H}_{Bk} = \begin{pmatrix} \gamma_\oplus^\dagger & \gamma_\oplus^\dagger \\ \gamma_\ominus & \gamma_\ominus \end{pmatrix} \begin{pmatrix} E & 0 \\ 0 & -E \end{pmatrix} \begin{pmatrix} \gamma_\oplus \\ \gamma_\ominus \end{pmatrix} + \text{ct.}$

Traces:  $+E^2 = +\xi^2 + |\Delta|^2$

$$E = \sqrt{\xi^2 + |\Delta|^2}$$

Assume  $\Delta_s = \Delta e^{i\varphi}$

Check that  $\begin{cases} \gamma_\oplus = u e + v e^{i\varphi} h \\ \gamma_\ominus = -v e^{-i\varphi} e + u h \end{cases}$

with  $u = \sqrt{\frac{1}{2} \left( 1 + \frac{\xi}{E} \right)}$ ;  $v = \sqrt{\frac{1}{2} \left( 1 - \frac{\xi}{E} \right)}$

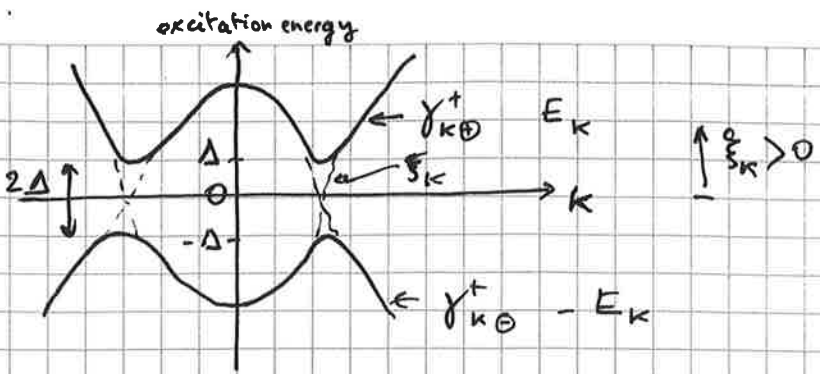
Proof:  $\gamma_\oplus^\dagger \gamma_\oplus = u^2 e^\dagger e + v^2 h^\dagger h + uv (e^{i\varphi} e^\dagger h + e^{-i\varphi} e h^\dagger)$

$\gamma_\oplus^\dagger \gamma_\ominus = v^2 \dots + u^2 \dots - \dots$

$\rightarrow \mathcal{H}_{Bk} = E (u^2 - v^2) (e^\dagger e - h^\dagger h) + 2Euv (\dots)$   
 $= \xi (\dots) + \Delta (\dots)$

$\Rightarrow \begin{cases} u^2 - v^2 = \frac{\xi}{E} & \text{OK} \\ 2uv = \frac{\Delta}{E} & \text{OK} \end{cases}$

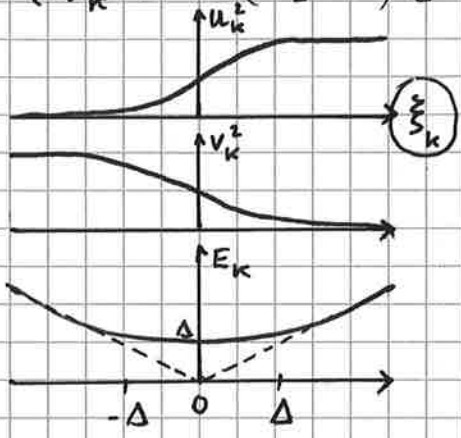
$\Rightarrow$  Excitations at  $\begin{cases} +E_k \\ -E_k \end{cases}$  created by  $\begin{matrix} \gamma_{k\oplus}^\dagger \\ \gamma_{k\ominus}^\dagger \end{matrix}$



- At  $k = k_F$   $\xi_k = 0$   $E = \Delta$

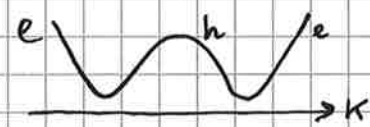
$$u_k^2 = \frac{1}{2} \left( 1 + \frac{\xi_k}{E_k} \right) = \frac{1}{2} \left( 1 + \frac{\xi_k}{\sqrt{\xi_k^2 + \Delta^2}} \right)$$

$$v_k^2 = \left( - \right) = \left( - \right)$$



( $v_k^2$  resembles a Fermi function at  $k_b T = \frac{\Delta}{2}$ )

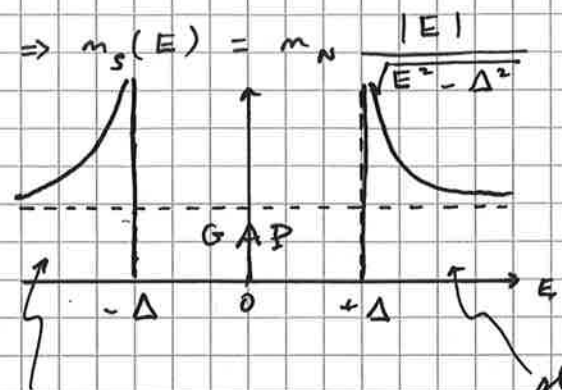
For  $\xi_k \gg \Delta$   $u_k \approx 1$   $v_k \approx 0$   
 $\gamma_{k⊕} \approx e_k$  "electron"  
 $\gamma_{k⊖} \approx h_k$  "hole"  
 (upper half of top graph) right and left



(OK with curvature:  $m_e > 0$ ,  $m_h < 0$ )

At  $|\xi_k| \ll \Delta$ ,  $u_k^2 \approx v_k^2 \approx \frac{1}{2}$ : equal weight of e & h.

■ QP DOS:  $m_s(E) dE = m(k) dk$   
 Fermi sphere (3D):  $m(k) \propto k^2$ . But since  $\Delta \ll E_F$ , take  $m(k) \approx \text{const}$  &  $k \approx k_F$   
 $\frac{dE}{dk} = \frac{dE}{d\xi} \cdot \frac{d\xi}{dk} \approx \frac{\xi}{\sqrt{\Delta^2 + \xi^2}} \frac{\hbar^2 k_F}{2m} \propto \frac{\sqrt{E^2 - \Delta^2}}{|E|}$



states filled at  $T=0$   
 excitations created by  $\gamma_{k⊖}$  (no dagger!) empty a state at  $E < 0 \Rightarrow$  energy cost  $-E > 0 =$  excitation  
 states empty at  $T=0$   
 excitations by  $\gamma_{k⊕}^+$  occupy state at  $E > 0$

■ BCS ground state?  
 All states at  $E < 0$  filled:  
 $|BCS\rangle = \prod_k \gamma_{k⊖}^+ |vacuum\rangle$

$|\text{vacuum}\rangle = \text{no "electron", no "hole"}$   
 spin  $\uparrow$  states unoccupied  
 spin  $\downarrow$  states all occupied!

$$= \prod_{k'} c_{k'\downarrow}^+ |0\rangle$$

vacuum of electrons

$$|BCS\rangle = \prod_{\mathbf{k}} (-v_{\mathbf{k}} e^{i\varphi} c_{\mathbf{k}\uparrow}^+ + u_{\mathbf{k}} c_{-\mathbf{k}\downarrow}) \prod_{k'} c_{k'}^+ |0\rangle$$

(gather terms  $\mathbf{k}$  and  $\mathbf{k}' = -\mathbf{k}$ )

$$= \prod_{\mathbf{k}} (-v_{\mathbf{k}} e^{i\varphi} c_{\mathbf{k}\uparrow}^+ c_{-\mathbf{k}\downarrow}^+ + u_{\mathbf{k}} c_{-\mathbf{k}\downarrow} c_{-\mathbf{k}\downarrow}^+) |0\rangle$$

applied to  $|0\rangle : \uparrow$

$$= \prod_{\mathbf{k}} (-v_{\mathbf{k}} e^{i\varphi} c_{\mathbf{k}\uparrow}^+ c_{-\mathbf{k}\downarrow}^+ + u_{\mathbf{k}}) |0\rangle$$

$$= \prod_{\mathbf{k}} (-v_{\mathbf{k}} e^{i\varphi} |00\rangle_{\mathbf{k}} + u_{\mathbf{k}} |00\rangle_{\mathbf{k}})$$

- ⚠ Not  $e^-$  pairs but superpositions of pair states occupied & unoccupied.
- Product state on all  $\mathbf{k}$ s, not  $|\mathbf{k}| < k_F$
- Phase  $\varphi$  is the same for all  $\mathbf{k}$ s!

Recover "normal" ground state:  $\Delta = 0$

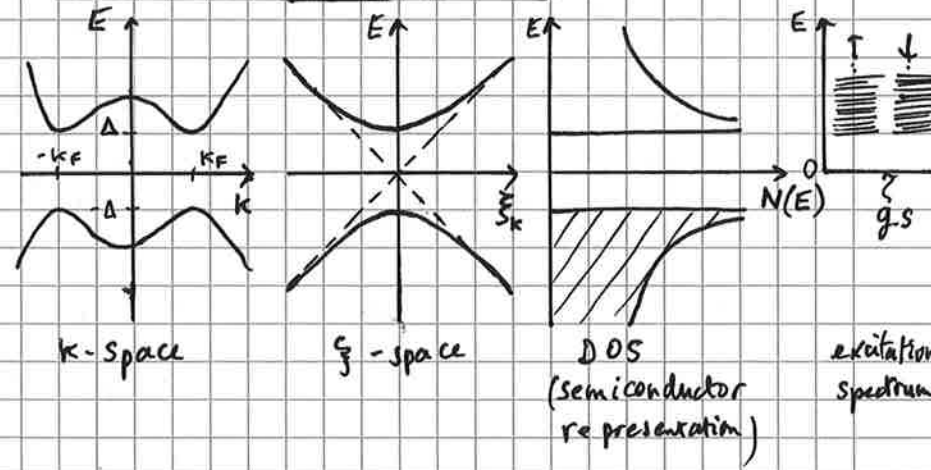
$$\Rightarrow \begin{cases} u_{\mathbf{k}} = 0 & \text{for } \xi_{\mathbf{k}} < 0 \\ v_{\mathbf{k}} = 1 & \text{for } \xi_{\mathbf{k}} > 0 \end{cases}$$

$$\Rightarrow |N\rangle = \prod_{|\mathbf{k}| < k_F} (-e^{i\varphi}) c_{\mathbf{k}\uparrow}^+ c_{-\mathbf{k}\downarrow}^+ \prod_{|\mathbf{k}| > k_F} (+1) |0\rangle$$

all states at  $|\mathbf{k}| < k_F$  are doubly occupied ( $\uparrow\downarrow$ )  
 (global phase not relevant)

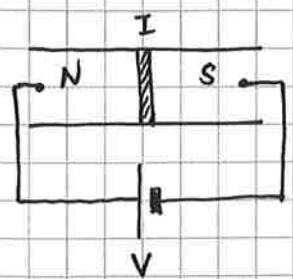
In  $|BCS\rangle$ , only states close to Fermi energy ( $k \sim k_F$ ,  $\frac{E}{\Delta}$  not too large) are different from what they are in  $|N\rangle$ .

Representations of a superconductor:





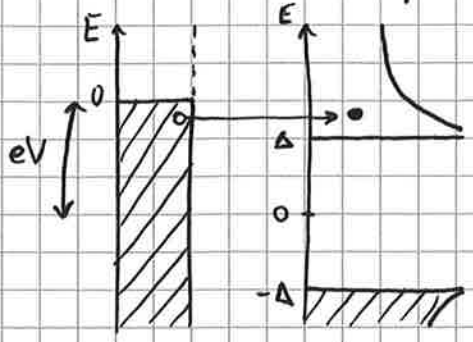
Current through NIS junctions (T=0)



Tunnel Hamiltonian:  

$$H_t = t \sum_{k,S} c_{kL0} c_{kR0}^\dagger + h.c.$$

Use semi-conductor representation:  $\mu_L - \mu_R = eV$



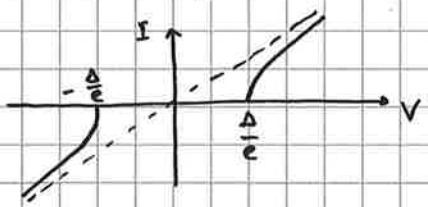
$I = 0$  for  $|V| < \frac{\Delta}{e}$

$$I \propto \int_{-\Delta}^{eV} m_s(E) dE$$

$$\frac{dI}{dV} = \frac{1}{R_t} m_s(eV)$$

principle of tunneling spectroscopy from N-electrode

$$I(V) = \frac{1}{R_t} \sqrt{V^2 - \left(\frac{\Delta}{e}\right)^2}$$



(skip) Is this really correct? Excitations in S and in N are not of the same nature! Need to decompose  $c_{kR0}^\dagger$  into  $\gamma_{k\oplus}^{(\dagger)}$  and  $\gamma_{k\ominus}^{(\dagger)}$  in S!

cf p.3: 
$$\begin{cases} \gamma_{k\oplus}^\dagger = u_k c_{k\uparrow}^\dagger + v_k e^{-i\phi} c_{-k\downarrow} & \times u_k \\ \gamma_{k\ominus}^\dagger = -v_k e^{i\phi} c_{k\uparrow}^\dagger + u_k c_{-k\downarrow} & \times v_k e^{-i\phi} \end{cases}$$

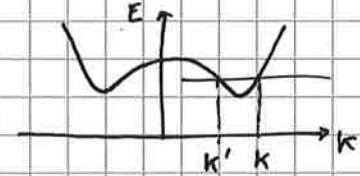
$$\rightarrow c_{k\uparrow}^\dagger = u_k \gamma_{k\oplus}^\dagger - v_k e^{-i\phi} \gamma_{k\ominus}^\dagger \quad (u_k^2 + v_k^2 = 1)$$

In |BCS>, all states  $\ominus$  are occupied:

$$c_{k\uparrow}^\dagger |BCS\rangle = u_k \gamma_{k\oplus}^\dagger |BCS\rangle$$

oops, shouldn't this coefficient enter in the calculation?!

In fact, there is a compensation: at a given energy E, there is also a state on the other side of  $k_F$ :



This state has opposite  $\xi$ :

$$\xi_{k'} = -\xi_k \Rightarrow u_{k'} = v_k$$

In the calculation of the current, 2 contributions at energy E combine:

$$\begin{aligned} c_{k\uparrow}^\dagger |BCS\rangle &= u_k \gamma_{k\oplus}^\dagger |BCS\rangle \\ c_{k'\uparrow}^\dagger |BCS\rangle &= v_k \gamma_{k'\oplus}^\dagger |BCS\rangle \end{aligned}$$

In Fermi Golden Rule, contributions  $|u_k|^2 + |v_k|^2 = 1$   
 $\Rightarrow$  altogether, we can forget about  $u_k$  &  $v_k$ !  
 (same compensation occurs of course for  $c_{k\downarrow}^\dagger$ )

Calculation of  $\Delta(T)$

of p. 2:  $\Delta = +V \langle \sum_{\mathbf{k}} C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} \rangle$   
 (take  $\varphi=0$ ) (inverted order  $C_{-\mathbf{k}\downarrow} C_{\mathbf{k}\uparrow}$ )

$$\begin{cases} C_{\mathbf{k}\uparrow} = u_{\mathbf{k}} \gamma_{\mathbf{k}\oplus} - v_{\mathbf{k}} \gamma_{\mathbf{k}\ominus} & (\text{p. 9}) \\ C_{-\mathbf{k}\downarrow} = v_{\mathbf{k}} \gamma_{\mathbf{k}\oplus} + u_{\mathbf{k}} \gamma_{\mathbf{k}\ominus} \end{cases}$$

$$C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} = u_{\mathbf{k}} v_{\mathbf{k}} (\gamma_{\mathbf{k}\oplus} \gamma_{\mathbf{k}\oplus}^+ - \gamma_{\mathbf{k}\ominus} \gamma_{\mathbf{k}\ominus}^+) + \text{terms in } \gamma_{\mathbf{k}\oplus} \gamma_{\mathbf{k}\oplus}^+ \text{ \& } \gamma_{\mathbf{k}\ominus} \gamma_{\mathbf{k}\ominus}^+ \text{ that give } \langle \dots \rangle = 0$$

$$u_{\mathbf{k}} v_{\mathbf{k}} = \frac{1}{2} \sqrt{1 - \left(\frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}}\right)^2} = \frac{\Delta}{2E_{\mathbf{k}}}$$

$$\langle \gamma_{\mathbf{k}\oplus} \gamma_{\mathbf{k}\oplus}^+ \rangle = 1 - \langle \gamma_{\mathbf{k}\ominus}^+ \gamma_{\mathbf{k}\ominus} \rangle = 1 - f(E_{\mathbf{k}})$$

$$\langle \gamma_{\mathbf{k}\ominus} \gamma_{\mathbf{k}\ominus}^+ \rangle = f(E_{\mathbf{k}}) \quad (\text{in N, } f = \langle \dots \rangle)$$

since  $\gamma_{\mathbf{k}\oplus}^+$  and  $\gamma_{\mathbf{k}\ominus}$  create excitations in S

$$\Rightarrow \Delta = +V \sum_{\mathbf{k}} \frac{\Delta}{2E_{\mathbf{k}}} (1 - 2f(E_{\mathbf{k}}))$$

$$1 - 2f(E) = \text{th} \frac{\beta E}{2} \quad \beta = \frac{1}{k_B T}$$

$$\sum_{\mathbf{k}} \rightarrow m_N \int_{\Delta}^{+\infty} dE m_S(E) \quad (\text{DOS is } m_N \cdot m_S(E))$$

Introduce  $g = \frac{1}{2} m_N V$

remove log divergence: cut-off at Debye energy

$$1 = g \int_0^{\Omega} dE \frac{1}{\sqrt{E^2 - \Delta^2}} \frac{\text{th} \frac{\beta E}{2}}{2}$$

$T \rightarrow 0$ :  $\Delta \rightarrow \Delta_0$   $\frac{\text{th} \frac{\beta E}{2}}{2} = 1$  for  $E > \Delta$

$$1 = g \int_{\Delta}^{\Omega} \frac{dE}{\sqrt{E^2 - \Delta^2}} \approx \text{arccosh} \left( \frac{\Omega}{\Delta} \right) \approx \ln \frac{2\Omega}{\Delta} \quad (\Omega \gg \Delta)$$

$$\Rightarrow \Delta = 2\Omega e^{-1/g}$$

Al:  $\Delta = 180 \mu\text{eV} = 2.1 k_B K$  ( $\neq T_c!$ )

$\Omega = 433 k_B K \rightarrow g \approx 0.17$   
 $e^{-1/g} \approx 0.0024$

Bilayer with perfect interface & thicknesses  $\ll \xi$ :

$$\begin{array}{|c|c|} \hline d_N \uparrow & N \\ \hline d_S \downarrow & S \\ \hline \end{array} \quad g_{\text{eff}} = \frac{1}{2} m_N \frac{d_S}{d_S + d_N} V \quad (\text{m equal})$$

If  $d_S = d_N$ ,  $g_{\text{eff}} = \frac{1}{2} g_S$

$\Rightarrow \Delta^* = 2\Omega e^{-2/g_S} = \Delta e^{-1/g_S} \ll \Delta!$

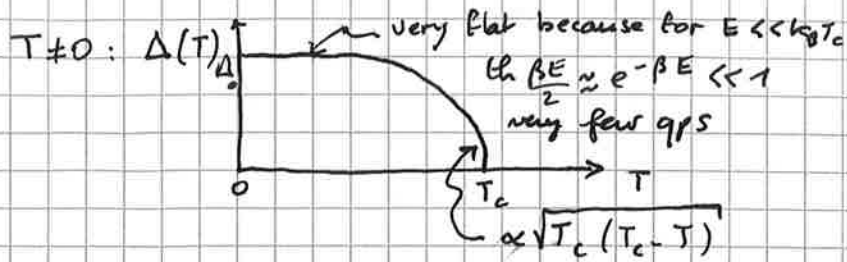
$T \rightarrow T_c$ :  $\Delta \rightarrow 0$   $1 = g \int_{\Delta}^{\Omega} \frac{dE}{E} \frac{\text{th} \frac{\beta E}{2}}{2} = g \ln \left( \frac{2\Omega}{\pi} \frac{1}{\beta} \right)$   $\gamma$ : Euler's constant



Compare with eq. obtained at  $T=0$ :  $1 = g \ln \frac{2\Omega}{\Delta_0}$

Ratio  $\rightarrow \frac{2\Omega}{\Delta_0} = \frac{2\gamma}{\pi} \propto \frac{1}{k_B T_c}$

$\rightarrow \frac{\Delta_0}{k_B T_c} = \frac{\pi}{\gamma} \approx 1.76$  Al:  $\begin{cases} \Delta_0 = 2.1 k_B T_c \\ T_c = 1.2 K \end{cases}$



$\Delta\left(\frac{T_c}{2}\right) = 0.96 \Delta_0$

characteristic of mean field theory

$\Delta(0.9T_c) = \frac{\Delta_0}{2}$

From BCS to BdG equation

cf p. 2: in 2<sup>nd</sup> quantization,

$$\mathcal{H}_b = \sum_k \begin{pmatrix} e_k^\dagger & h_k^\dagger \end{pmatrix} \begin{pmatrix} \xi_k & \Delta \\ \Delta^\dagger & -\xi_k \end{pmatrix} \begin{pmatrix} e_k \\ h_k \end{pmatrix}$$

When  $\mathcal{H}_b$  contains a term that depends on position (like  $\vec{A}(r)$ , or any non-translation invariant geometry) need to solve Schrödinger eq. in real-space representation with

$\psi(x) = \begin{pmatrix} a(x) \\ b(x) \end{pmatrix}$  2-component wavefunction with   
 $\left. \begin{array}{l} a(x): \text{electron amplitude} \\ b(x): \text{hole amplitude} \end{array} \right\}$

(N.B. If spin matters, need  $a_\uparrow, a_\downarrow, b_\uparrow, b_\downarrow$ )

BdG eq:  $\begin{pmatrix} H_1 & \Delta \\ \Delta^\dagger & -H_1^\dagger \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = E \begin{pmatrix} a \\ b \end{pmatrix}$

where  $H_1, \Delta, a, b$  are position-dpd.

\* if  $H_1 = \frac{p^2}{2m} - \mu$ , recover previous solutions

by taking  $\begin{cases} a(x) = a_k e^{ikx} \\ b(x) = b_k e^{ikx} \end{cases}$

$\rightarrow \begin{pmatrix} \xi_k & \Delta \\ \Delta^\dagger & -\xi_k \end{pmatrix} \begin{pmatrix} a_k \\ b_k \end{pmatrix} = E_k \begin{pmatrix} a_k \\ b_k \end{pmatrix}$

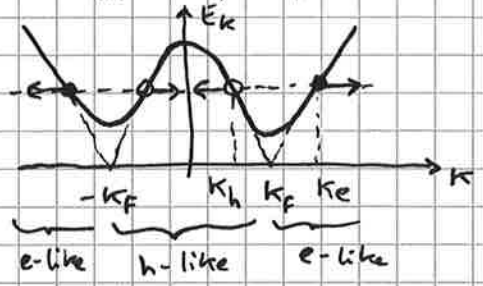
coeffs of  $e_k$  &  $h_k$  in  $\gamma_{k0}^+$

→ solutions  $\begin{pmatrix} a_k \\ b_k \end{pmatrix} = \begin{pmatrix} u_k \\ v_k e^{-i\varphi} \end{pmatrix}$  or  $\begin{pmatrix} -v_k e^{i\varphi} \\ +u_k \end{pmatrix}$

↑ energy  $+E_k$                       ↑ energy  $-E_k$

$$(E_k^2 = |\Delta|^2 + \xi_k^2)$$

at a given energy  $E_k$ , 4 possible states:



all of the form  $\begin{pmatrix} u_k \\ v_k e^{-i\varphi} \end{pmatrix}$  ( $E_k > 0$ )

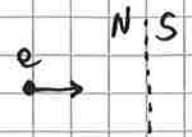
Group velocity  $\frac{\partial E}{\partial k}$ : at  $k_e$ ,  $\rightarrow$   
at  $k_h$ ,  $\leftarrow$  etc.

In a normal metal,  $\Delta = 0 \rightarrow E_k = |\xi_k|$

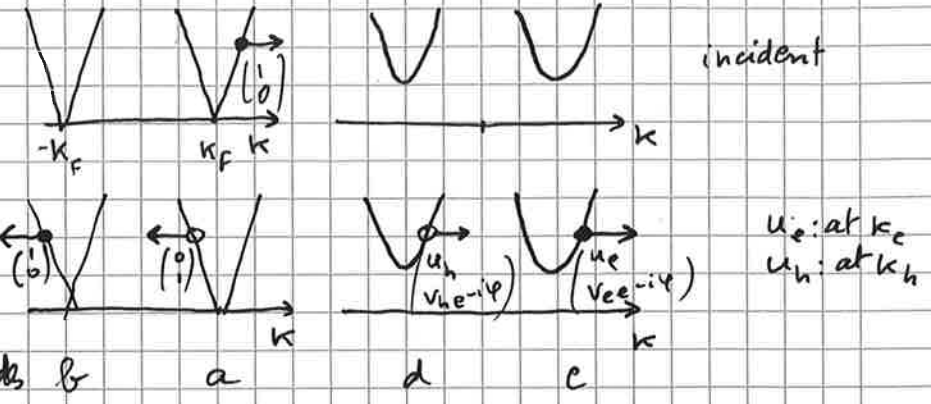
(p.3)  $u_k^2 = \frac{1}{2} (1 + \text{sgn}(\xi_k)) = 1$  for  $e^-$ , 0 for  $h^-$   
 $v_k^2 = \frac{1}{2} (1 - \text{sgn}(\xi_k)) = 0$  for  $e^-$ , 1 for  $h^-$   
 $e^-: \begin{pmatrix} 1 \\ 0 \end{pmatrix}$      $h^-: \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  ok!

### Andreev Reflection

Ballistic NS interface



what happens to an  $e^-$  at energy  $E > 0$ ?



amplitudes &

(notations of BTK)

Match wavefunctions at NS interface:

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} + b \begin{pmatrix} 1 \\ 0 \end{pmatrix} + a \begin{pmatrix} 0 \\ 1 \end{pmatrix} = d \begin{pmatrix} u_e \\ v_h e^{-i\varphi} \end{pmatrix} + e \begin{pmatrix} u_h \\ v_e e^{-i\varphi} \end{pmatrix} \quad (1)$$

because  $\psi_N(x) = \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{ik_e x} + b \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{-ik_e x}$

$$+ a \begin{pmatrix} 0 \\ 1 \end{pmatrix} e^{ik_h x}$$

$$\psi_S(x) = d \begin{pmatrix} u_h \\ v_h e^{-i\varphi} \end{pmatrix} e^{ik_h^S x} + e \begin{pmatrix} u_e \\ v_e e^{-i\varphi} \end{pmatrix} e^{ik_e^S x}$$

Match derivative of  $\psi$  at  $x=0$  :

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} k_e \psi - b \begin{pmatrix} 1 \\ 0 \end{pmatrix} k_e + a \begin{pmatrix} 0 \\ 1 \end{pmatrix} k_h \psi = d \begin{pmatrix} u_h \\ v_h e^{-i\varphi} \end{pmatrix} (-k_h^S) + c \begin{pmatrix} u_e \\ v_e e^{-i\varphi} \end{pmatrix} k_e^S$$

Andreev approximation :  $k_{e,h}^{N,S} \approx k_F$  !

$$\begin{matrix} (3) \rightarrow \\ (4) \end{matrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} - b \begin{pmatrix} 1 \\ 0 \end{pmatrix} + a \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -d \begin{pmatrix} u_h \\ v_h e^{-i\varphi} \end{pmatrix} + c \begin{pmatrix} u_e \\ v_e e^{-i\varphi} \end{pmatrix}$$

(2)-(4) :  $d=0 \rightarrow a = c v_e e^{-i\varphi}$

(1)+(3) :  $2 = 2c u_e \rightarrow c = \frac{1}{u_e} \rightarrow a = \frac{v_e}{u_e} e^{-i\varphi}$

(1)-(3) :  $b=0$

Comments :  $b=0, a \neq 0$  : reflection as a hole = AR

$d=0, c \neq 0$  : transmission as quasi- $e^-$

Amplitude of reflected hole is  $a = \frac{v_e}{u_e} e^{-i\varphi}$

$$|a| = \sqrt{\frac{E - \xi_S}{E + \xi_S}} \quad \begin{matrix} \triangle \text{ in } N, E = \text{energy of incident } e \\ \text{in } S, E = \sqrt{\Delta^2 + \xi_S^2} \\ \xi_S = \sqrt{E^2 - \Delta^2} \end{matrix}$$

• if  $E > \Delta$ , no pb,  $\xi_S > 0$  :  $\exists$  propagating quasi- $e^-$  at energy  $E$

$$|a|^e = \sqrt{\frac{E - \xi_S}{E + \xi_S}} = \frac{E - \xi_S}{\sqrt{E^2 - \xi_S^2}} = \frac{E - \sqrt{E^2 - \Delta^2}}{\Delta}$$

when  $E \gg \Delta$ ,  $|a| \rightarrow 0$ ,  $c \rightarrow 1$  : more & more transmitted as quasi- $e^-$  because resembles  $e^-$  !

• if  $E < \Delta$ ,  $\xi_S \in i\mathbb{R}$   $\xi_S = \frac{\hbar^2 k_S^2}{2m}$

$\rightarrow k_S$  has imaginary part : decays on  $\frac{\xi}{\sqrt{1 - (\frac{E}{\Delta})^2}}$  : evanescent

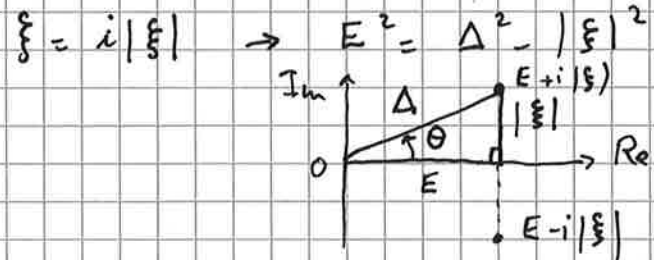
$$a = \sqrt{\frac{E - \xi_S}{E + \xi_S}} e^{-i\varphi} = \sqrt{\frac{E + \xi_S^*}{E + \xi_S}} e^{-i\varphi}$$

modulus 1  $|a|=1$

total refl. as hole

Phase acquired at reflection :  $\text{Arg}(a)$

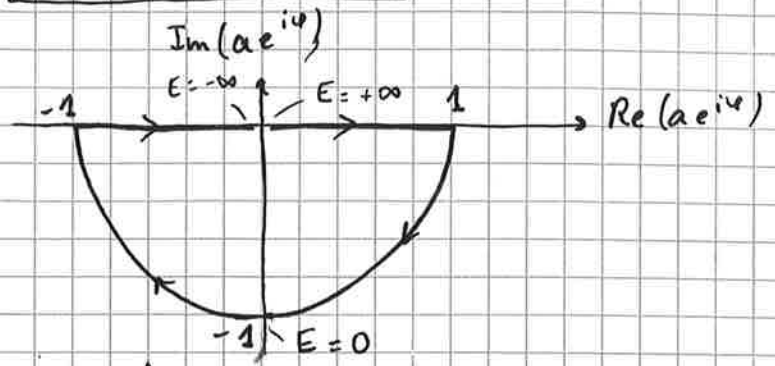
$$= \frac{1}{2} \text{Arg} \frac{E - \xi_S}{E + \xi_S} - \varphi$$



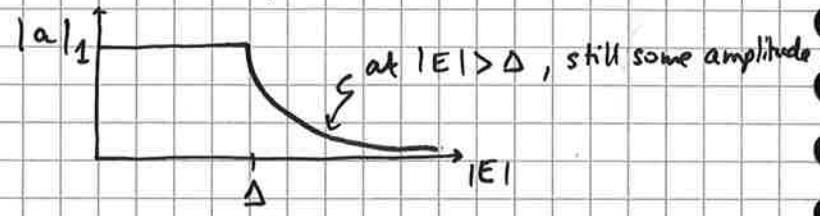
$$\text{Arg}(E \pm \xi_S) = \pm \theta \rightarrow \frac{1}{2} \text{Arg} \frac{E - \xi_S}{E + \xi_S} = -\theta = -\text{Arccos} \frac{E}{\Delta}$$

⇒ phase acquired during A.R. is  $-\varphi - \arccos \frac{E}{\Delta}$

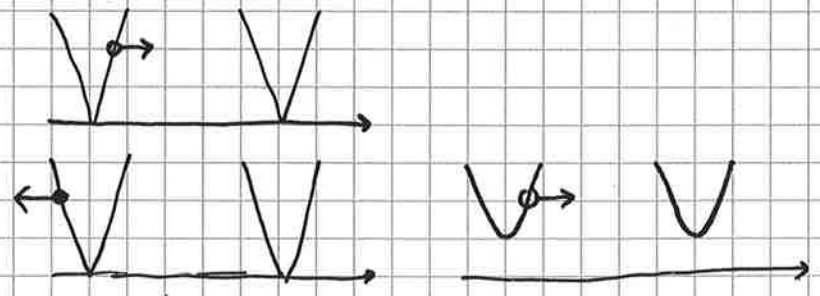
$$a = e^{-i(\varphi + \arccos \frac{E}{\Delta})} \quad (|E| < \Delta)$$



(we did calculation for  $E > 0$ , but at  $E < 0$  get this side)



• Repeat same calculation for incident hole at  $E > 0$



$$\Psi_N(x) = b \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{-ik_e x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} e^{-ik_h x}$$

$$\Psi_S(x) = d \begin{pmatrix} u_h \\ v_h e^{-i\varphi} \end{pmatrix} e^{-ik_h^S x}$$

Continuity of  $\Psi, \Psi', k_{N,S}^{e,h} \approx k_F$

$$\begin{cases} b = d u_h \\ 1 = d v_h e^{-i\varphi} \end{cases} \rightarrow b = \frac{u_h}{v_h} e^{i\varphi} = \frac{v_e}{u_e} e^{i\varphi}$$

$$\rightarrow b = e^{i(\varphi - \arccos \frac{E}{\Delta})} \quad \text{for } |E| < \Delta$$

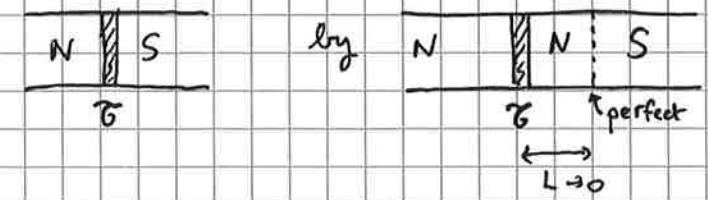
⇒ same coefficient as for  $e^- \rightarrow h$ , but for  $\text{sgn}(\varphi)$

\* Imperfect interface: BTK model to calculate current through NIS junction (PRB 25, 4515 (1982))

2 methods: • in BTK paper, introduce barrier  $V\delta(x)$

in  $H_1$  and solve BdG eq. → introduce  $Z = \frac{V}{\hbar v_F}$  which sets the discontinuity of  $\Psi$  at barrier.

• use the result at  $V = 0$ , and model



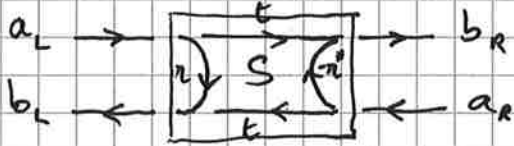
$\tau$ : transmission proba. for  $e^-$ :  $\tau = \frac{1}{1+Z^2}$

$\tau = |t|^2$  and

$$S_h = S_e^*$$

$$S_e = e^{i\eta} \begin{pmatrix} r & t \\ t & -r^* \end{pmatrix} \quad \begin{array}{l} t \in \mathbb{R} \\ r \in \mathbb{C} \\ \text{take } \eta = 0 \end{array}$$

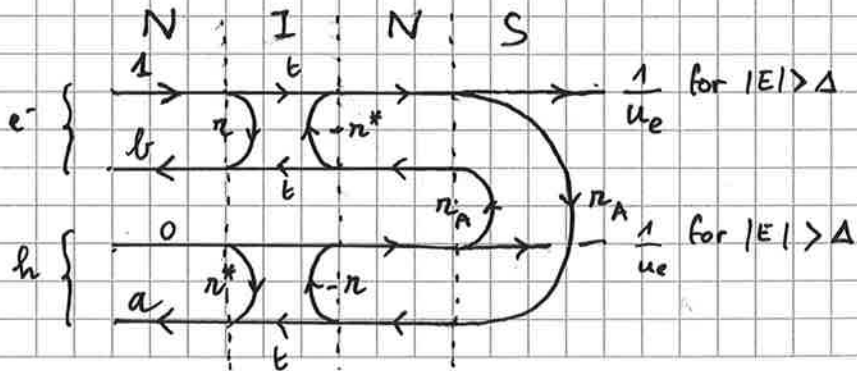
$S_e$  relates outgoing waves to incoming waves



$$\begin{pmatrix} b_R \\ b_L \end{pmatrix} = S \begin{pmatrix} a_L \\ a_R \end{pmatrix}$$

Back to NIS: assume  $\varphi = 0$  because when a ring  $S$ ,  $\varphi$  has no incidence (vanishes if kept here)

$\Rightarrow$  call  $r_A$  the AR for  $e^-$  &  $h$



$$a = t^2 r_A (1 + |r|^2 r_A^2 + \dots) = \frac{t^2 r_A}{1 - |r|^2 r_A^2} = \frac{\tau r_A}{1 - (1-\tau) r_A^2}$$

$$b = r - r t^2 r_A^2 (1 + |r|^2 r_A^2 + \dots) = r \left( 1 - \frac{\tau r_A^2}{1 - (1-\tau) r_A^2} \right) = \sqrt{1-\tau} \frac{1 - r_A^2}{1 - (1-\tau) r_A^2}$$

Rmq: at  $|E| = \Delta$ ,  $r_A = \pm 1 \rightarrow a = \pm 1$ :

always perfect AR even if  $\tau \neq 1$ :

Fabry-Pérot-like

Proba to be reflected as  $h$  is  $A = |a|^2$

as  $e^-$  is  $B = |b|^2$

$\left. \begin{array}{l} 1 \rightarrow \\ A \leftarrow \\ B \leftarrow \end{array} \right\} 1 + A - B e^-$  flows in left electrode, each contribute by  $G_0 = \frac{2e^2}{h}$

$$\text{at } T=0: I = G_0 \int_0^{eV} \frac{dE}{e} (1 + A(E) - B(E))$$

$$\frac{dI}{dV} = G_0 (1 + A(eV) - B(eV))$$



$E \gg \Delta \quad A=0 \quad B=1-\tau \rightarrow \frac{dI}{dV} = G_0 \tau \quad \partial k$

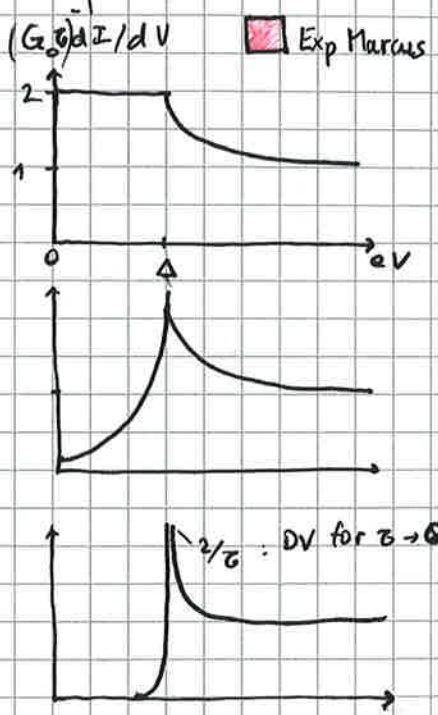
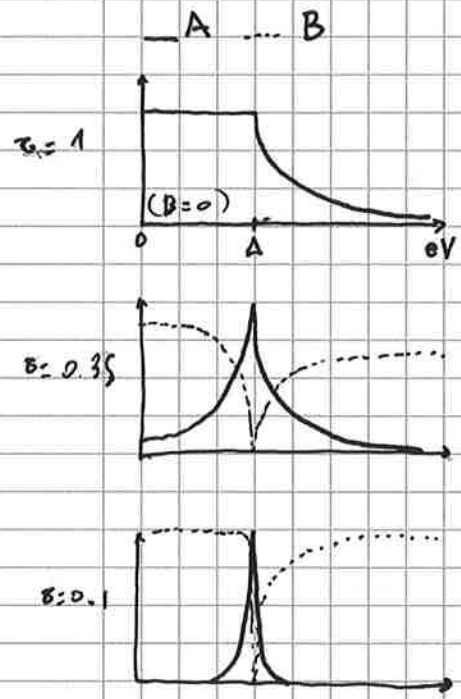
$E < \Delta$  nothing transmitted in S  $\rightarrow B=1-A$

$\Rightarrow \frac{dI}{dV} = 2G_0 A(eV)$

for each  $e^-$  sent, 1 h reflected

$\rightarrow$  1 pair transmitted in S (we just discussed excitations in S!)

$\rightarrow$  increase of conductance by factor 2 compared to  $NIN$  when  $\tau=1$



Exercise: show that when  $\tau \rightarrow 0 \quad \frac{1}{G_0 \tau} \frac{dI}{dV} = \frac{E}{\sqrt{E^2 - \Delta^2}} = n_s(E)$

$A \propto \tau^2 \quad B \propto 1 - \tau \rightarrow \frac{dI}{dV} = G_0 (1 - B)$

$B = (1 - \tau) \left( \frac{1 - r_A^2}{1 - (1 - \tau) r_A^2} \right)^2 \quad \text{let } \alpha = r_A^2$

$= (1 - \tau) \left( \frac{1}{1 - \frac{\alpha}{1 - \tau} \tau} \right)^2 = (1 - \tau) \left( 1 - \frac{2\alpha}{1 - \alpha} \tau \right)$

$= 1 - \tau \left( 1 + \frac{2\alpha}{1 - \alpha} \right) = 1 - \tau \frac{1 + \alpha}{1 - \alpha}$

$1 - B = \tau \frac{1 + \alpha}{1 - \alpha}$

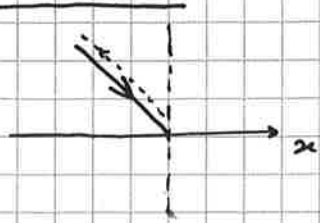
$\alpha = \left( \frac{E - \sqrt{E^2 - 1}}{E + \sqrt{E^2 - 1}} \right)^2 \quad \text{with } E = \frac{E}{\Delta}$

$(E + \sqrt{E^2 - 1}) \alpha = (E + \sqrt{E^2 - 1})(E - \sqrt{E^2 - 1})(E - \sqrt{E^2 - 1})$   
 $= (E^2 - (E^2 - 1))(E - \sqrt{E^2 - 1})$   
 $= E - \sqrt{E^2 - 1}$

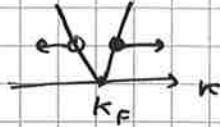
$\Rightarrow \alpha = \frac{E - \sqrt{E^2 - 1}}{E + \sqrt{E^2 - 1}}$

$1 + \alpha = \frac{2E}{E + \sqrt{E^2 - 1}}$   
 $1 - \alpha = \frac{2\sqrt{E^2 - 1}}{E + \sqrt{E^2 - 1}}$   
 $\left. \begin{matrix} 1 + \alpha \\ 1 - \alpha \end{matrix} \right\} \frac{1 + \alpha}{1 - \alpha} = \frac{E}{\sqrt{E^2 - 1}} \quad \text{OK!}$

Remarks on AR : at 3D, a retroreflection



along  $x$ ,  $k_e^x \approx k_h^x$   
(Andreev approx)



In transverse directions,  $k_{||}$  is conserved.

$\Rightarrow$  total  $\vec{k}$  is conserved, but at same  $\vec{k}$  group velocity of  $e^-$  &  $h$  are opposite  $\rightarrow$  retroreflection

This is not the case if  $\Delta$  is not  $\ll E_F$  because  $k_e$  &  $k_h$  can be then significantly  $\neq$ . In graphene, A.R. can be at an angle, specular, or even forbidden at certain angles : cf Beenakker, PRL 97, 067007 (2006)

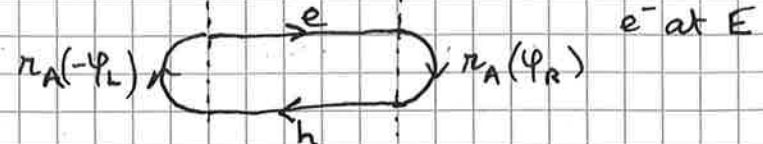
What BTK misses : in many situations, backscattering in  $N$  region  $\rightarrow$  multiple points where AR can occur  $\rightarrow$  constructive interference increasing greatly the total proba of AR  $\rightarrow$  see later

From AR to ABS & Josephson effect

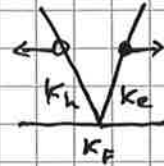
1-D SNS junction, first without scattering in  $N$ , & perfect interfaces



$$\delta = \varphi_L - \varphi_R$$



Phase acquired at 2 AR :  $-2 \arccos \frac{E}{\Delta} - \varphi_R + \varphi_L$   
while crossing  $N$  :  $(k_e - k_h) L$



$$= 2(k_e - k_F)L$$

$$E = \frac{\hbar^2}{2m} (k_e^2 - k_F^2) \approx \frac{\hbar^2}{m} k_F (k_e - k_F) = \hbar v_F (k_e - k_F)$$

$$\rightarrow 2(k_e - k_F)L = \frac{2E}{\hbar v_F} L = 2 \frac{\Delta}{\hbar v_F} \frac{E}{\Delta} L = \frac{2E}{\xi}$$

with  $\xi = \frac{\hbar v_F}{\Delta}$  ;  $\xi = \frac{\hbar v_F}{\Delta}$  superc. coherence length ( $\Delta$  before,  $\xi$  was an energy!)

$\rightarrow$  bound states when  $\boxed{-2 \arccos \frac{E}{\Delta} + \delta + \frac{2E}{\xi} = 0 \quad [2\pi]}$

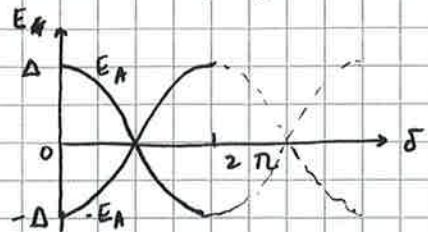
Same calc for  $\Rightarrow -2 \arccos \frac{E}{\Delta} - \delta + \frac{2E}{\xi} = 0 \quad [2\pi]$   
(only sign of  $\delta$  changes)

$$\begin{cases} 2 \arccos \frac{E}{\Delta} = \mu \rightarrow E \\ 2 \arccos \frac{E}{\Delta} = \mu + 2\pi \rightarrow -E \end{cases}$$



Short junction limit:  $L \ll \xi$

$2 \arccos E = \delta [2\pi] \rightarrow E = \pm \cos \frac{\delta}{2} = \frac{\pm E_A}{\Delta}$  Andreev energy



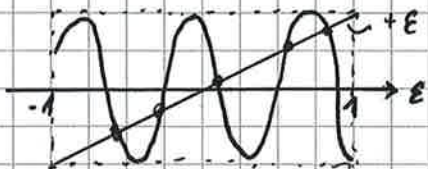
- States at  $|E| < \Delta \rightarrow$  localized on scale defined by evanescent tails in S:  $\frac{\xi}{\sqrt{\Delta^2 - E_A^2}}$

- Superpositions of e & h: like Cooper pairs in BCS  $\rightarrow$  localized Cooper pair

- At  $T=0$ , only state at  $E < 0$  occupied

Long junction:  $L > \xi$

$\arccos E = \left( \frac{\delta}{2} + \frac{L}{\xi} E \right) [2\pi]$   
 $\pm E = \cos \left( \frac{\delta}{2} + \frac{L}{\xi} E \right)$

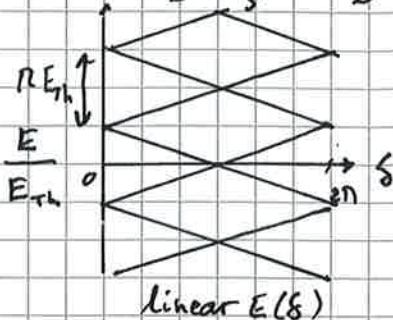


# solutions  $\approx$  nb of zeros of  $\cos \left( \frac{L}{\xi} E \right)$  for  $-1 < E < 1$   
 $\times 2 (\pm E) \rightarrow \frac{2L/E}{2\pi} \times 2 = \frac{2}{\pi} \frac{L}{\xi}$

If  $\frac{L}{\xi} \gg 1$ , # of ABS  $\gg 1$ . Around  $E \approx 0$ , since  $E = \cos(\dots)$ ,  $\cos(\dots) \approx 0 \rightarrow \frac{\delta}{2} + \frac{L}{\xi} E = \frac{\pi}{2} + m\pi$

$\Rightarrow \frac{L}{\xi} E = \frac{\pi}{2} + \frac{\delta}{2} + m\pi$

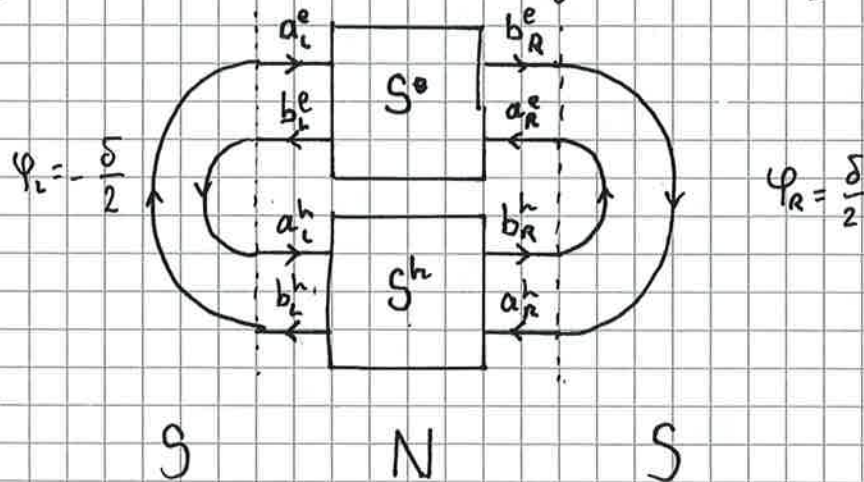
$\frac{L}{\xi} E = \frac{L}{\hbar v_F \lambda} \frac{E}{\Delta} = \frac{E}{E_{Th}}$



at  $\delta=0$ , gap  $\frac{\pi}{2} E_{Th}$   
 at  $\delta=\pm\pi$ , gap  $= 0$  } general features

■ Solutions for various  $L/\xi$

$\blacktriangleright$  Now assume  $r \neq 1$  & short junction  $L \ll \xi$



$$\begin{pmatrix} b_L^e \\ b_R^e \end{pmatrix} = S^e \begin{pmatrix} a_L^e \\ a_R^e \end{pmatrix}$$

$$\begin{pmatrix} a_L^e \\ a_R^e \end{pmatrix} = \begin{pmatrix} e^{i\varphi_L} & 0 \\ 0 & e^{i\varphi_R} \end{pmatrix} R_A^e(\epsilon) \begin{pmatrix} b_L^h \\ b_R^h \end{pmatrix}$$

$$= R_A \begin{pmatrix} b_L^h \\ b_R^h \end{pmatrix}$$

$$\begin{pmatrix} b_L^h \\ b_R^h \end{pmatrix} = S^h \begin{pmatrix} a_L^h \\ a_R^h \end{pmatrix}$$

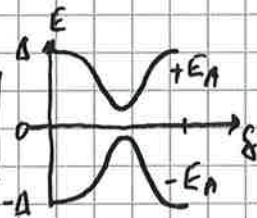
$$\begin{pmatrix} a_L^h \\ a_R^h \end{pmatrix} = R_A^* \begin{pmatrix} b_L^e \\ b_R^e \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} b_L^e \\ b_R^e \end{pmatrix} = S^e R_A S^h R_A^* \begin{pmatrix} b_L^e \\ b_R^e \end{pmatrix}$$

$$\Rightarrow \det(1 - S^e R_A S^h R_A^*) = 0$$

$$\Rightarrow -2 + 2\epsilon^2 + \tau - \tau \cos \delta = 0$$

$$\Rightarrow E = \pm \Delta \sqrt{1 - \tau \sin^2\left(\frac{\delta}{2}\right)}$$



$$\text{At } \delta = \pi, \text{ gap} = 2\Delta\sqrt{1-\tau}$$

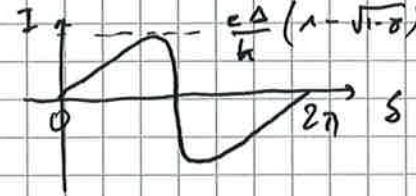
Link to Josephson effect:

$$I = \frac{1}{\varphi_0} \frac{\partial E}{\partial \delta}$$

For  $\frac{L}{s} = 0$ , only ABS carry supercurrent (otherwise also states in continuum have a  $\delta$ -dependent energy)

At  $T=0$ , only state at  $-E_A$  is occupied

$$\rightarrow I = -\frac{1}{\varphi_0} \frac{\partial E_A}{\partial \delta} = \frac{e\Delta}{2\hbar} \tau \frac{\sin \delta}{\sqrt{1 - \tau \sin^2 \frac{\delta}{2}}}$$



MLDR

$\frac{e\Delta}{h} \sim 50 \text{ nA}$  for Al

In tunnel junctions:  $\tau \ll 1 \rightarrow I = \frac{e\Delta}{2\hbar} \tau \sin \delta$

for each channel

In normal state,  $\mathcal{D}^2 \frac{2e^2}{h} \tau = R_t^{-1}$  tunnel resistance

$$\Rightarrow I_0 = \frac{\pi}{4} \frac{2\Delta}{eR_t}; \quad I = I_0 \sin \delta$$

Ambegaokar-Baratoff relation

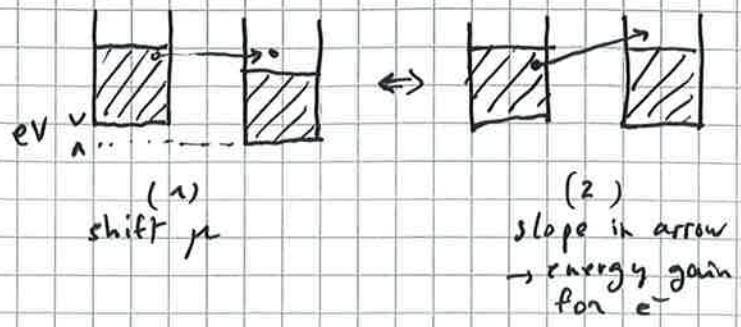
Josephson relation

Balistic junctions  $\tau = 1$

Exp<sup>t</sup> with Bi nanowires

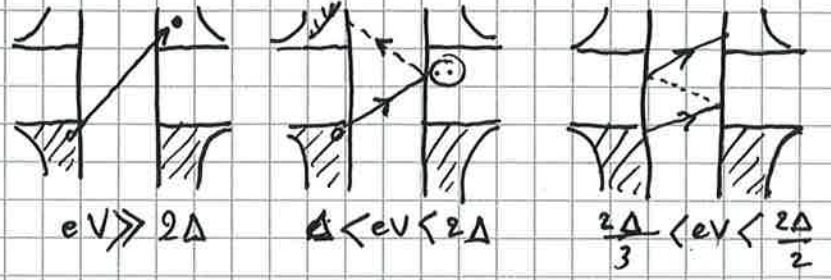


Multiple Andreev Reflections (MAR)



(2) more adapted when AR occurs, because need to describe  $e^-$  &  $h$ , which gain same energy in opposite directions.

SNS junctions:



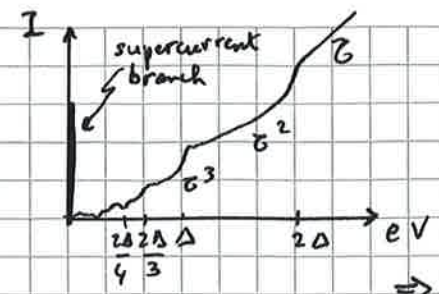
Proba for AR at  $E > \Delta$  small: absorbed as  $e^-$

$e^- \rightarrow h \rightarrow$  absorbed as quasi- $h$

two AR

for  $\frac{2\Delta}{n+1} < eV < \frac{2\Delta}{n}$ , need at least  $n$  AR to reach available states  $\rightarrow$  proba  $\propto \tau^{n+1}$

$\Rightarrow$  cusps in I-V at  $eV = \frac{2\Delta}{n}$



contains all moments of  $\{\tau_i\}$

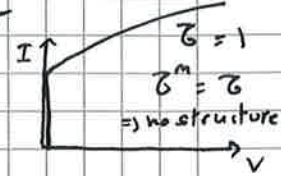
$\rightarrow$  very non-linear I-V

$I(\tau, V)$  known, tabulated

$\Rightarrow$  deconvolve  $I(V) = \sum \tau_i I(\tau_i, V)$

& find  $\{\tau_i\}$  & # of channels  $N$

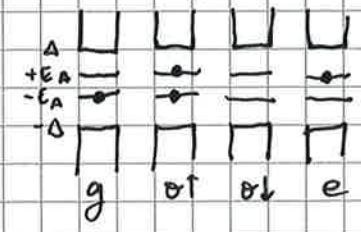
Semi-c structure:  $N \sim \frac{\text{area}}{(\Delta F/2)^2}$



atomic contacts,  $N \sim$  chemical valency of atom

■ I-V fits in atomic contacts & InAs nanowires

■ Spectroscopy of ABS



$\mu W$  spectro

tunneling spectro for extended systems (CNT)

Shiba states: Christophe



# Parity effects in Superconducting islands

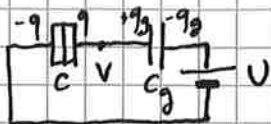


charge on capacitor = continuous variable

charge on isolated electrode = quantized (Millikan)  
in units of  $e$

S electrode: quantization in units of  $2e$ ?

1) N state: single electron box



Total energy (including work performed by source):

$$E = \frac{q^2}{2c} + \frac{q_g^2}{2C_g} + q_g U \quad \left( \frac{dE}{dq} = 0 \rightarrow \text{sign } 2k \right)$$

$$= \frac{q^2}{2c} + \frac{(q_g + C_g U)^2 - (C_g U)^2}{2C_g}$$

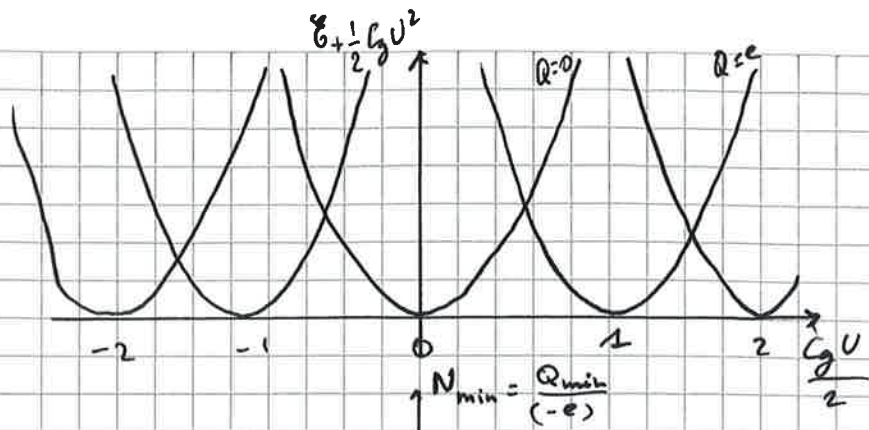
Use  $V = \frac{q}{c} = \frac{q_g}{C_g} + U = \frac{(q + q_g) C_g U}{(c + C_g)} = C_\Sigma$

if  $\frac{a}{b} = \frac{c}{d}$ , then  $= \frac{a+c}{b+d}$

$$\Rightarrow q = \frac{c}{C_\Sigma} (Q + C_g U)$$

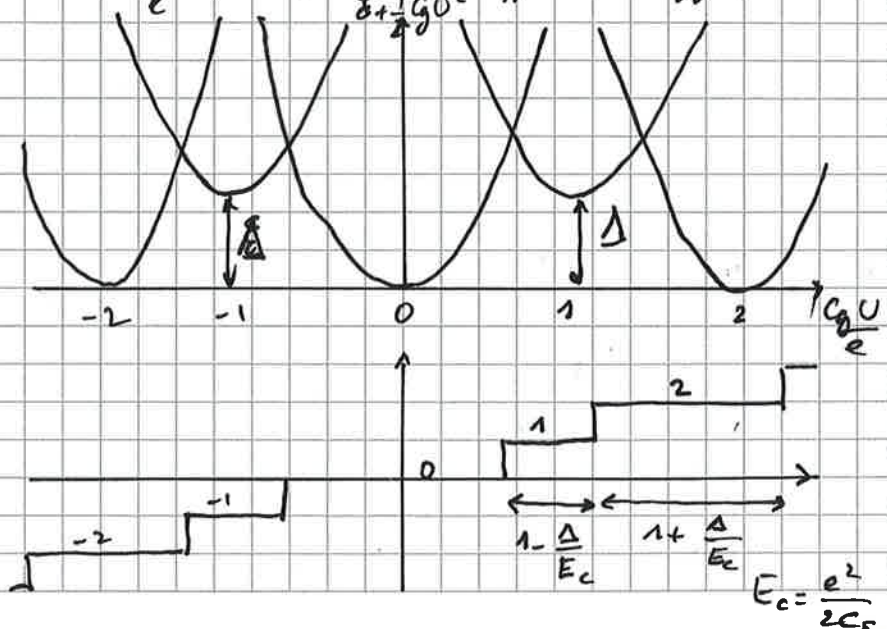
$$\left\{ \begin{aligned} q_g + C_g U &= \frac{C_g}{C_\Sigma} (Q + C_g U) \end{aligned} \right.$$

$$\begin{aligned} E &= \frac{c}{2C_\Sigma^2} (Q + C_g U)^2 + \frac{C_g}{2C_\Sigma^2} (Q + C_g U)^2 - \frac{1}{2} C_g U^2 \\ &= \frac{1}{2C_\Sigma} (Q + C_g U)^2 - \frac{1}{2} C_g U^2 \quad \leftarrow \text{indep't of } Q \end{aligned}$$



2) S state in island only

When  $\frac{Q}{e}$  is odd, additional qp at energy  $\geq \Delta$



Crossing of parabola at  $Q=0$  and  $Q=2e$ :

$$(C_g U)^2 = (2e + C_g U)^2$$

$\rightarrow C_g U = e$  of course

$$\text{then } E + \frac{1}{2} C_g U^2 = \frac{E_c^2}{2C_g} = E_c$$

$\Rightarrow$  - at  $T=0$ ,  $Q$  always even if  $\Delta > E_c$

- at  $T \neq 0$ , requires  $\Delta - k_B T \ln \nu \gg E_c$

$$\text{with } \nu = \nu_0 \Delta \sqrt{\frac{2\pi k_B T}{\Delta}} \quad \text{Exp}$$

nb of states at energy  $< \Delta$  in island

(because many states are available if  $Q$  is odd  $\rightarrow$  need to compare  $F_{\text{odd}}$  &  $F_{\text{even}}$ )

NB: usually  $C \gg C_g$ ;  $C_g \sim C$

IF junction  $(100 \text{ nm})^2$ ,  $C \sim 1 \text{ fF}$ ,  $E_c \sim 1 \text{ K} \sim \Delta$

$\rightarrow$  not easy to get even parity always  $\neq N_0$ !

3) Cooper pair box: all S

At  $T \ll \Delta$ , no qp (in principle...)  $\Rightarrow$  odd states not accessible

$\Rightarrow$  like single electron box, with  $e \rightarrow 2e$

$$\Rightarrow E = \frac{Q^2}{2C} + \frac{1}{2} C_g U^2$$

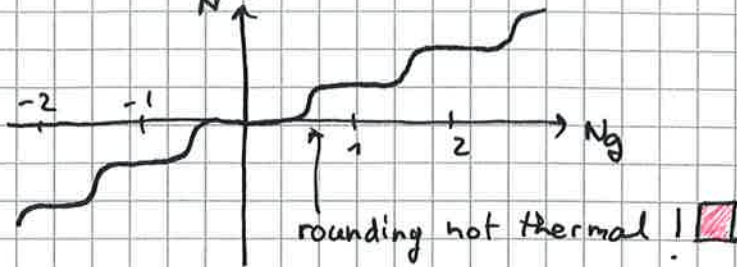
$$= \frac{1}{2C_\Sigma} (Q + C_g U)^2$$

$$Q = N(-2e)$$

$$N_g = \frac{C_g U}{2e}$$

$$E_c = \frac{(2e)^2}{2C_\Sigma}$$

$$= E_c (N - N_g)^2$$



because states  $N$  &  $N+1$  are coupled by J. effect:

$$H = E_c (\hat{N} - N_g)^2 - E_J \cos \hat{\theta}$$

↑  
phase of island

$$[\hat{\theta}, \hat{N}] = i \quad \hat{\theta} \text{ \& \ } \hat{N} \text{ are conjugate variables}$$

In a JJ, in general:  $\hat{\theta}$  phase across junction

$\hat{N}$  nb of pairs that passed through the junction

$$\begin{aligned} \text{Current: } I &= 2e \dot{N} = \frac{2e}{\hbar} \frac{\partial H}{\partial \theta} = \frac{2e}{\hbar} E_J \sin \theta \\ &= I_0 \sin \theta \quad (E_J = I_0 \frac{\hbar}{2e}) \end{aligned}$$

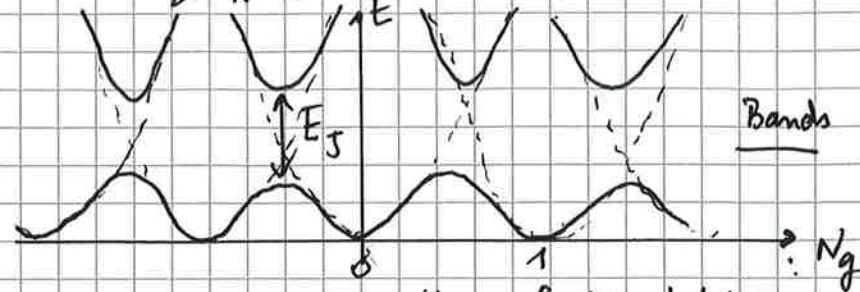
$$\text{Voltage: } V = \frac{\hbar}{2e} \dot{\theta} = \varphi_0 \dot{\theta}$$

$$\cos \hat{\theta} = \frac{1}{2\hbar} (e^{i\hat{\theta}} + e^{-i\hat{\theta}})$$

↳ translation operator of  $N$  by 1

$$(e^{i\hat{\theta}} \hat{a} \hat{p} |x\rangle = |x+a\rangle)$$

$$\cos \hat{\theta} = \frac{1}{2} \sum_N (|N-1\rangle \langle N| + |N+1\rangle \langle N|)$$



→ rounding of steps between  $N$  &  $N+1$ .

$$\text{At } N_g = \frac{1}{2}, \frac{1}{\sqrt{2}} (|N=0\rangle \pm |N=1\rangle)$$

(if  $\frac{E_J}{E_c} \ll 1$ , otherwise higher states matter!)

→ first superconducting Qubits: manipulate with  $N_g$  superpositions of  $|N=0\rangle$  and  $|N=1\rangle$

↳ Nakamura, Quantonium



In the limit  $E_J \gg E_C$ , bands become flat

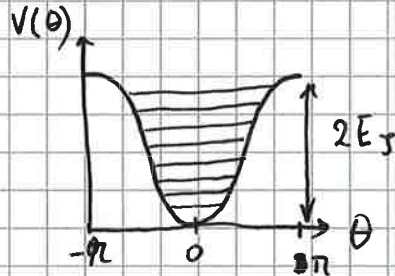
→ insensitive to  $N_g$  noise → better qubits: "transmon"

Then instead of considering coupling of parabolas by  $E_J$ , better to consider potential  $-E_J \cos \theta$  and "kinetic" term  $E_C \hat{N}^2$  (in a first step, neglect  $N_g$  since expect little  $N_g$ -dependence of energy levels):

$$H = E_C \hat{N}^2 - E_J \cos \hat{\theta}$$

$$H = \frac{\hat{P}^2}{2m} - V \cos \hat{x}$$

$E_J \gg E_C \rightarrow$  large mass  $\rightarrow$  state localized in potential well



Many states in well

Low energy states:  $\theta \approx 0 \quad \cos \theta \approx 1 - \frac{\theta^2}{2} + \frac{\theta^4}{24}$

$$H \approx E_C \hat{N}^2 + \frac{E_J}{2} \hat{\theta}^2 - \frac{E_J}{24} \hat{\theta}^4 + \text{cst}$$

Harmonic oscillator  $\Rightarrow \hbar \omega_0 (\hat{n} + \frac{1}{2})$

$$E_C \hat{N}^2 + \frac{E_J}{2} \hat{\theta}^2 = \sqrt{\frac{E_J E_C}{2}} (\hat{P}^2 + \hat{X}^2) \text{ with } \left. \begin{aligned} \hat{X} &= \sqrt{\frac{2E_C}{E_J}} \hat{N} \\ \hat{P} &= \sqrt{\frac{E_J}{2E_C}} \hat{\theta} \end{aligned} \right\}$$

$$\hat{a} = \frac{1}{\sqrt{2}} (\hat{X} + i\hat{P}) \Rightarrow \hat{P}^2 + \hat{X}^2 = 2\hat{a}^\dagger \hat{a} + 1$$

$$\Rightarrow H = \hbar \omega_0 (\hat{a}^\dagger \hat{a} + \frac{1}{2})$$

With  $\hbar \omega_0 = \sqrt{2 E_J E_C}$

$$\omega_0 = \frac{1}{\sqrt{L_J C}}$$

$L_J = \frac{\Phi_0}{I_c}$  Josephson inductance

$$-E_J \cos \theta \approx \text{cste} + \frac{\theta^2}{2L_J}$$

Effect of  $\frac{\theta^4}{24}$ : anharmonicity

$$\Rightarrow E_m - E_{m-1} = \hbar \omega_0 - m \frac{E_C}{4} \text{ (reduces because of } V(\theta) < \frac{\theta^2}{2L_J} \text{)}$$

Compare anharmonicity with level spacing:

$$\frac{E_C/4}{\hbar \omega_0} = \frac{E_C}{4} \frac{1}{\sqrt{2E_J E_C}} = \frac{1}{4} \sqrt{\frac{E_C}{2E_J}} \ll 1$$

Anharmonicity  $\uparrow$  with  $E_C$  because  $\frac{\hbar \omega_0}{E_J} \propto \sqrt{\frac{E_C}{E_J}}$ :  
nb of states in well  $\downarrow$ , levels "feel" more rapidly top of potential & fall anharmonicity

$N_g$ -dependence (Koch & al, PRA 76, 042311 (2007))

$$E_m(N_g) \approx E_m(N_g = \frac{1}{4}) - \frac{E_m}{2} \cos(2\pi N_g)$$

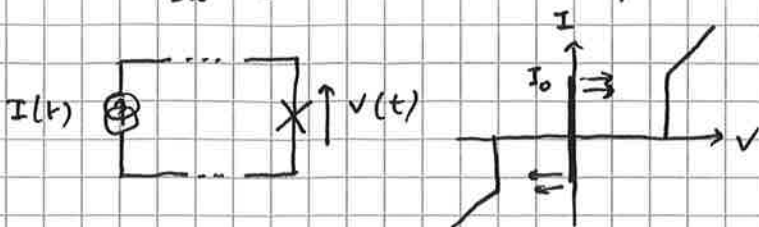
$$E_m \propto E_C \exp\left[-\frac{8E_J}{E_C}\right] \lll E_C$$



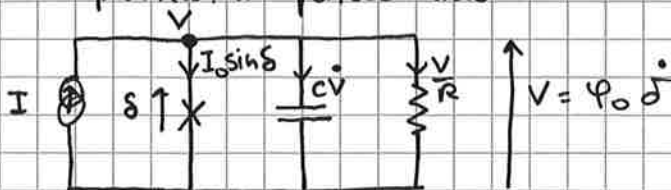
Anharmonicity is essential if one wants to be able to use only 2 levels!

## RCSJ model

Measure  $I_{sw}$  of JJ  $\rightarrow$  observe dispersion: why?



Describe biasing circuit: junction capacitance (+ parasitic), parallel impedance: simplified into



$$I = C\dot{V} + \frac{V}{R} + I_0 \sin \delta$$

$$= C\varphi_0 \ddot{\delta} + \frac{\varphi_0}{R} \dot{\delta} + I_0 \sin \delta$$

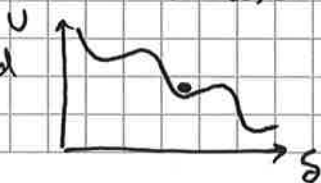
$$\delta = \frac{I}{I_0} = \frac{C\varphi_0}{I_0} \ddot{\delta} + \frac{\varphi_0}{RI_0} \dot{\delta} + \sin \delta$$

of the form  $M\ddot{\delta} = -\lambda\dot{\delta} - \frac{dU(\delta)}{d\delta}$

mass $\frac{C\varphi_0}{I_0}$	friction $\frac{\varphi_0}{RI_0}$	potential $U(\delta) = \int (\sin \delta - \delta) d\delta$
----------------------------------	--------------------------------------	--

$$= -\omega_J \delta - \lambda \delta$$

Tilted washboard potential





$\delta$ : position of fictitious particle

$\dot{\delta}$ : velocity  $\propto$  Voltage  $V$

$\sin \delta \propto$  current through JJ

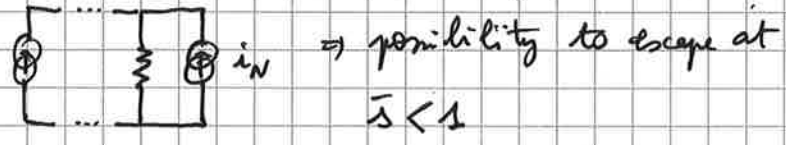
$s$ : tilt of potential: at  $s=1$ , no local minimum

- $s < 1, T=0$ : particle trapped in local minimum:
  - $\dot{\delta} = 0 \rightarrow V=0$
  - $\delta = \arcsin s [2\pi] \rightarrow I \neq 0$
  - $\rightarrow$  Josephson branch

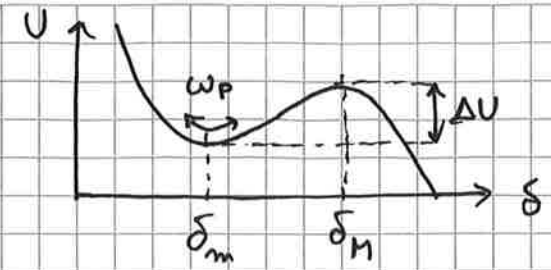
At  $T=0$ , leave minimum only at  $s=1$ : escape at  $I = I_0$ , neglecting quantum fluctuations.

- $T \neq 0$ : causes noise (Johnson-Nyquist)

across  $R \Rightarrow$  fluctuations of  $I \Rightarrow$  of  $s$



Occurs if  $kT \approx$  barrier height



$$\delta_m = \arcsin s \quad \delta_M = \pi - \arcsin s$$

$$\Delta U = -s(\delta_M - \delta_m) - (\cos \delta_M - \cos \delta_m)$$

$$\cos \delta_M = -\cos \delta_m = -\sqrt{1-s^2}$$

$$\Rightarrow \Delta U = -s(\pi - 2 \arcsin s) + 2\sqrt{1-s^2}$$

$$\approx \frac{4\sqrt{2}}{3} (1-s)^{3/2} \quad \left( \begin{array}{l} \text{exact at } s=1 \\ 1\% \text{ error at } s=0.8 \\ 67\% \text{ at } s=0 \end{array} \right)$$

Energy units: multiply initial eq. by  $\varphi_0$ :  $E_J$

$= I_0 \varphi_0$  is the natural energy unit

$$\Rightarrow \Delta U = \frac{4\sqrt{2}}{3} E_J (1-s)^{3/2}$$

Thermal escape: Arrhenius law:  $\Gamma_{sw} \propto e^{-\frac{\Delta U}{kT}}$

Prefactor = "attempt frequency" = freq of oscillations

in potential well minimum  $\frac{\omega_p}{2\pi}$  (exact calc:

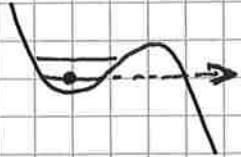
P. Hänggi, Rev. Mod. Phys. 62, 251 (1990))

$$\omega_p = \omega_p^0 (1 - s^2)^{1/4} \quad \omega_p^0 = \sqrt{\frac{I_0}{e\varphi_0}} = \frac{1}{\sqrt{L_C C}}$$

$$\Rightarrow \Gamma_H(s) = \frac{\omega_p(s)}{2\pi} \exp\left(-\frac{\Delta U(s)}{kT}\right)$$

Quantum escape:

For  $T < T_{co} = \frac{\hbar \omega_p}{2\pi k_B}$ , particle in ground state of well oscillations. Then escape dominated by tunneling through barrier:



WKB calc: replace

$$T \text{ by } \frac{5}{36} \frac{\hbar \omega_p}{k_B}$$

$$\Rightarrow \Gamma_{HQT} = A e^{-B} \text{ with } \begin{cases} A = \frac{\omega_p}{2\pi} \sqrt{120\pi B} \\ B = \frac{36}{5} \frac{\Delta U(s)}{\hbar \omega_p(s)} \end{cases}$$

After escape?

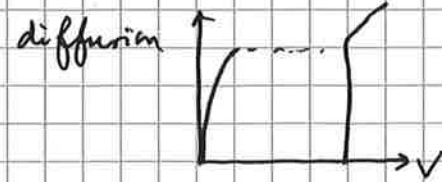
Depends a friction  $\lambda = \frac{\varphi_0}{R I_0} = \frac{1}{Q \omega_p^0}$

$Q = RC \omega_p^0$  quality factor

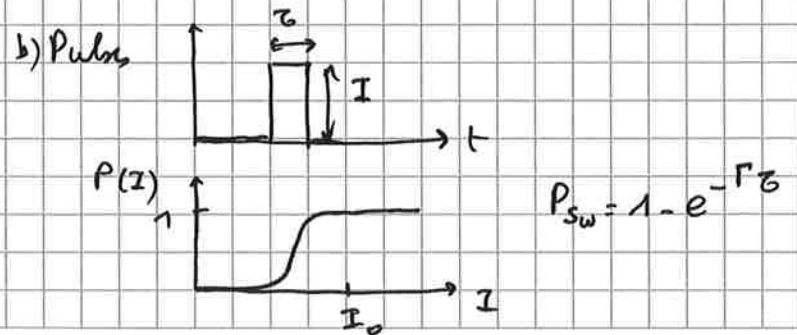
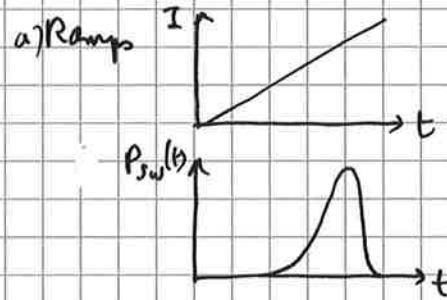
If  $Q \gg 1$ , little friction  $\rightarrow$  velocity in next well  $\rightarrow$  accelerates  $\rightarrow V \neq 0$  till produces



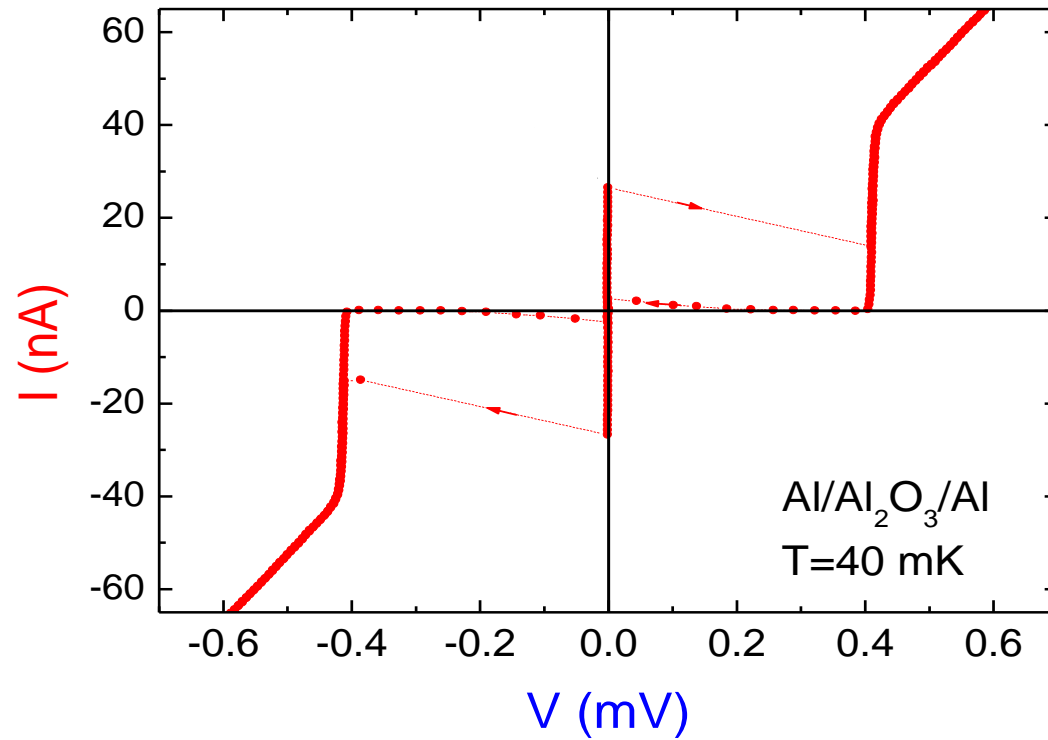
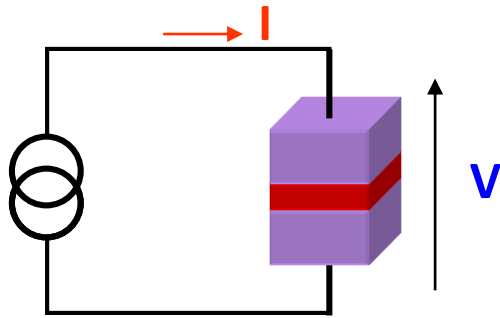
If  $Q$  small, retraps in next well: phase diffusion till velocity sufficient



Measuring switching



# THE JOSEPHSON JUNCTION



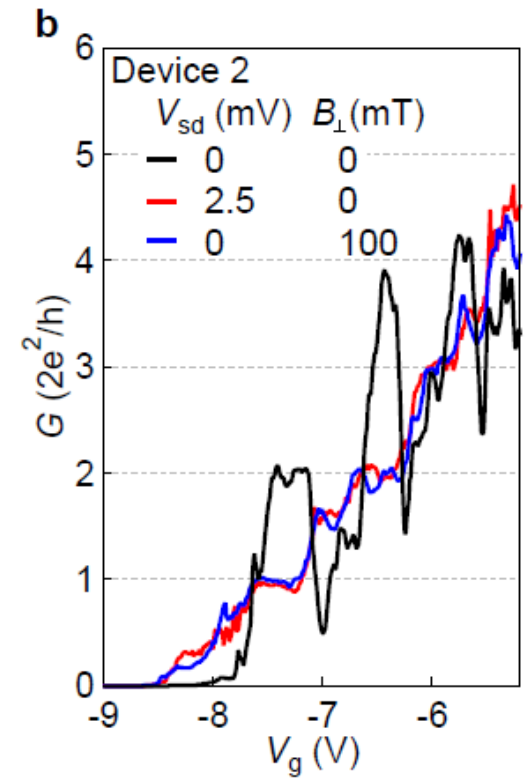
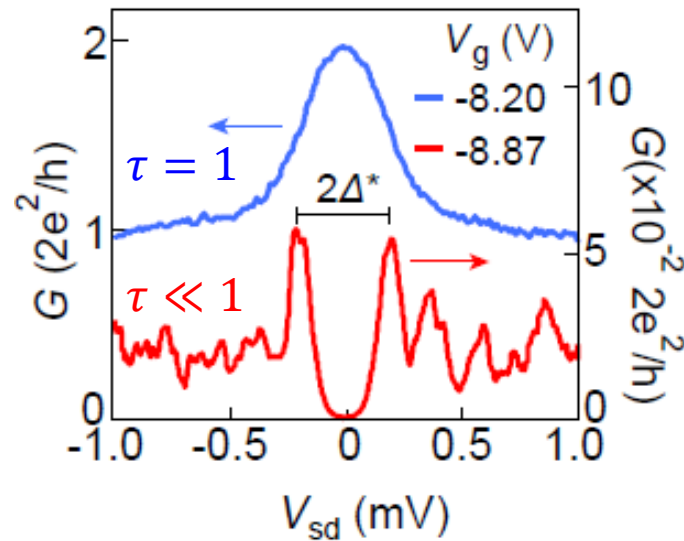
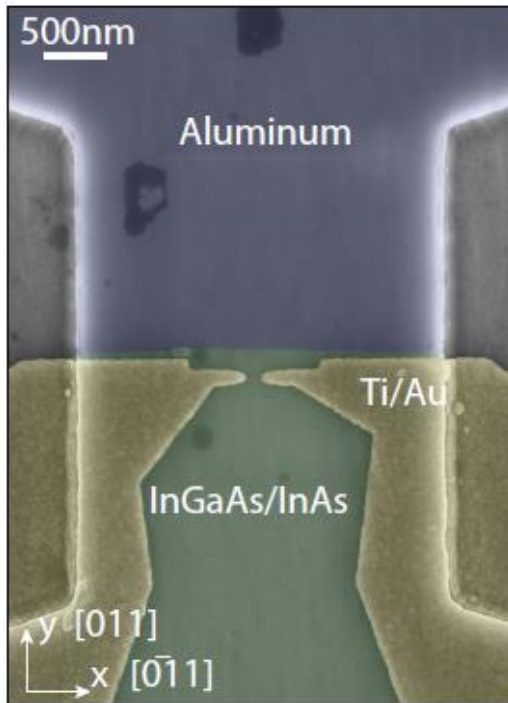
**B.T.K.**

# Conductance doubling: experiments

## Quantized conductance doubling and hard gap in a two-dimensional semiconductor-superconductor heterostructure

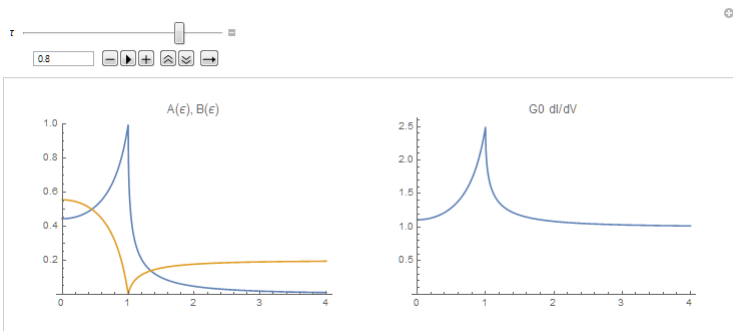
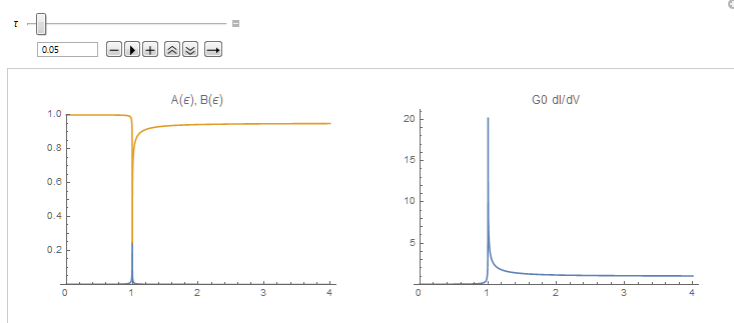
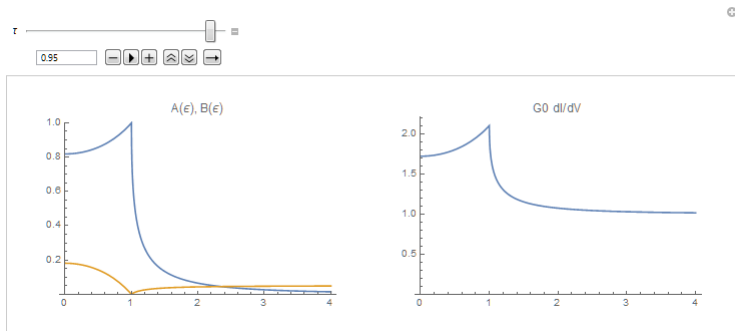
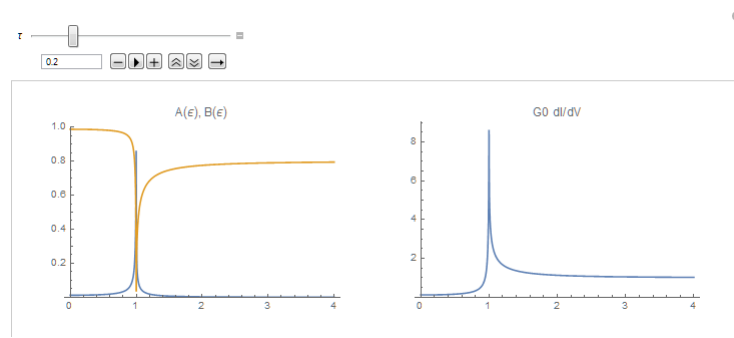
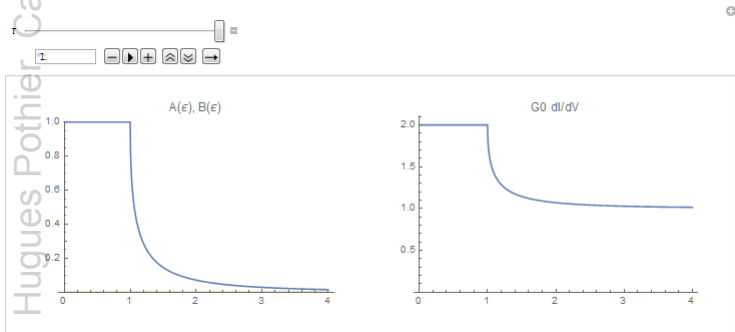
M. Kjaergaard,<sup>1</sup> F. Nichele,<sup>1</sup> H. J. Suominen,<sup>1</sup> M. P. Nowak,<sup>2,3,4</sup> M. Wimmer,<sup>2,3</sup> A. R. Akhmerov,<sup>2</sup>  
 J. A. Folk,<sup>5,6</sup> K. Flensberg,<sup>1</sup> J. Shabani,<sup>7</sup> C. J. Palmström,<sup>7</sup> and C. M. Marcus<sup>1</sup>

arXiv:1603.01852v1 Nat. Commun. 7, 12841 (2016)





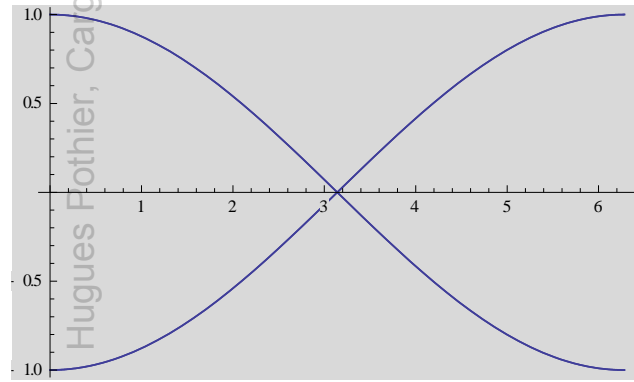
# BTK: conductance of ballistic NIS junction



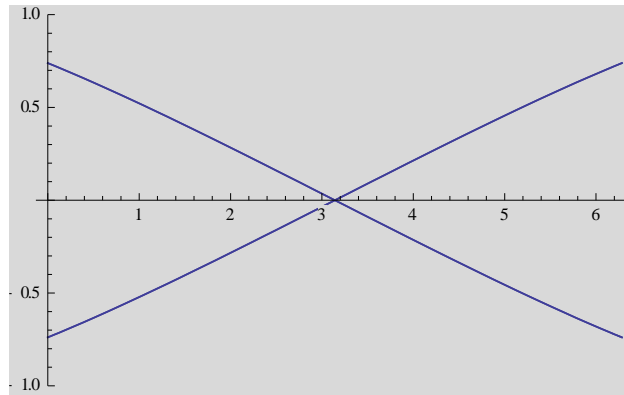
# ABS: long junction limit

# ABS

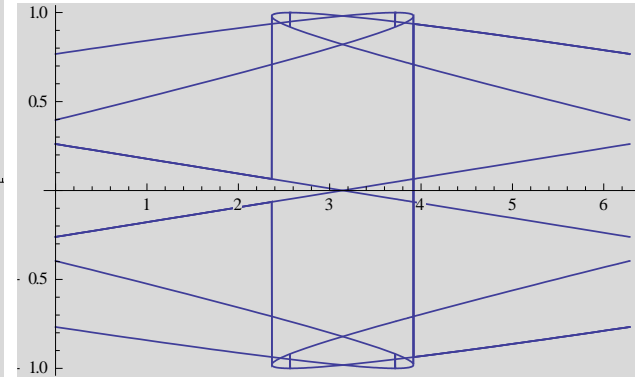
$L/\xi=0$



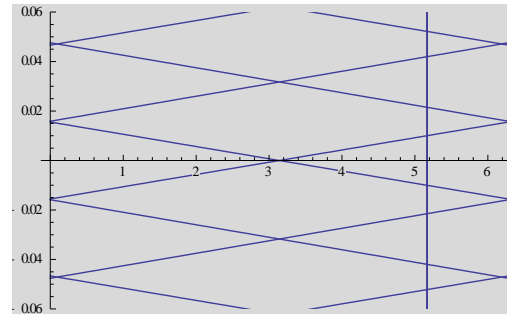
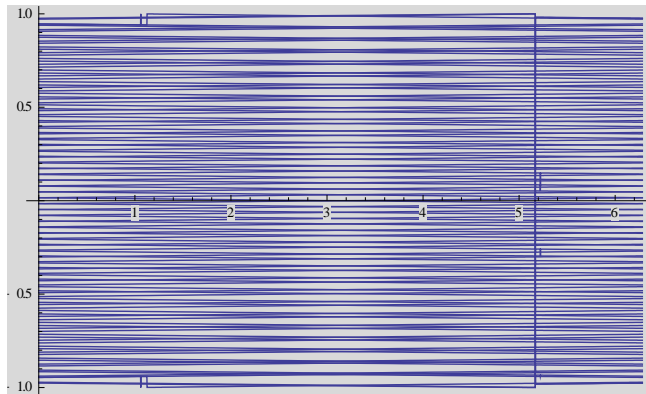
$L/\xi=1$



$L/\xi=5$



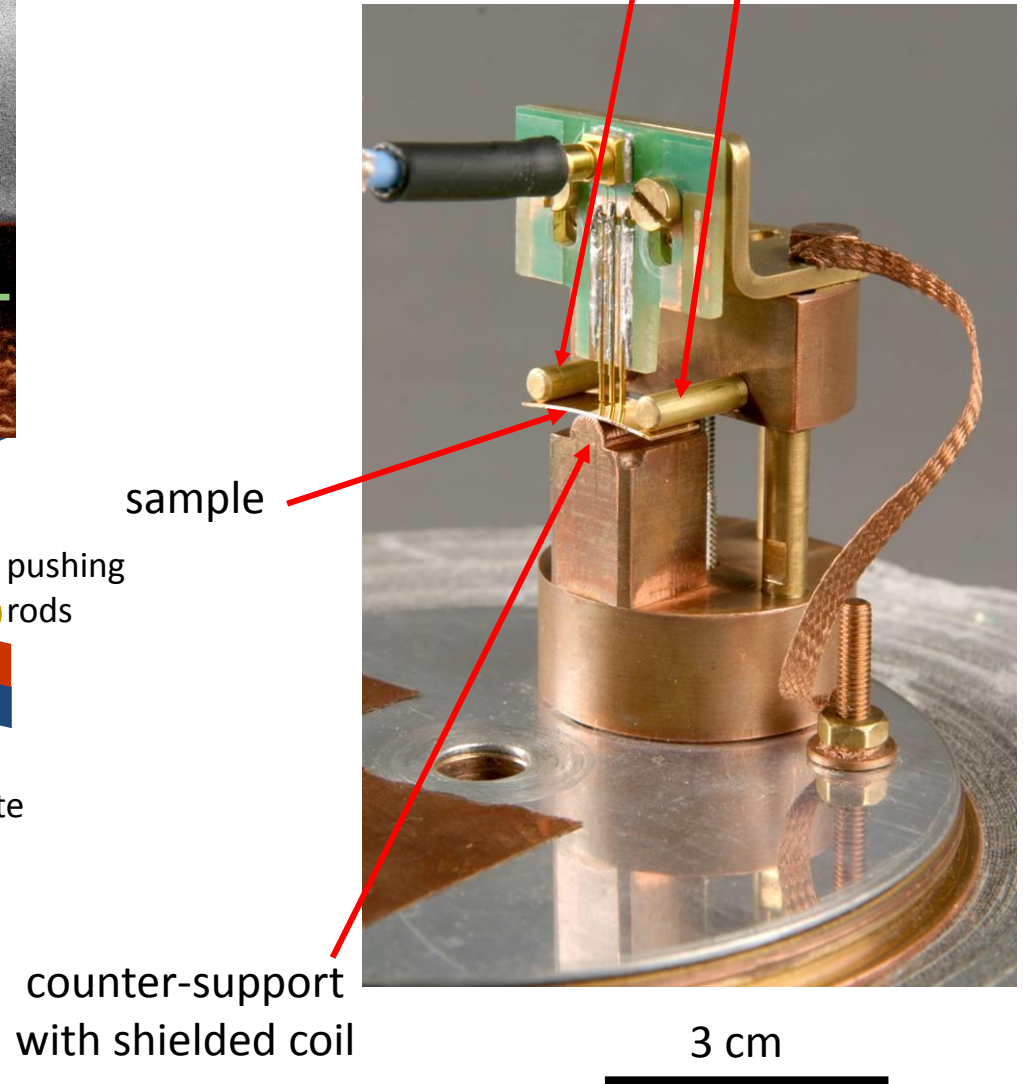
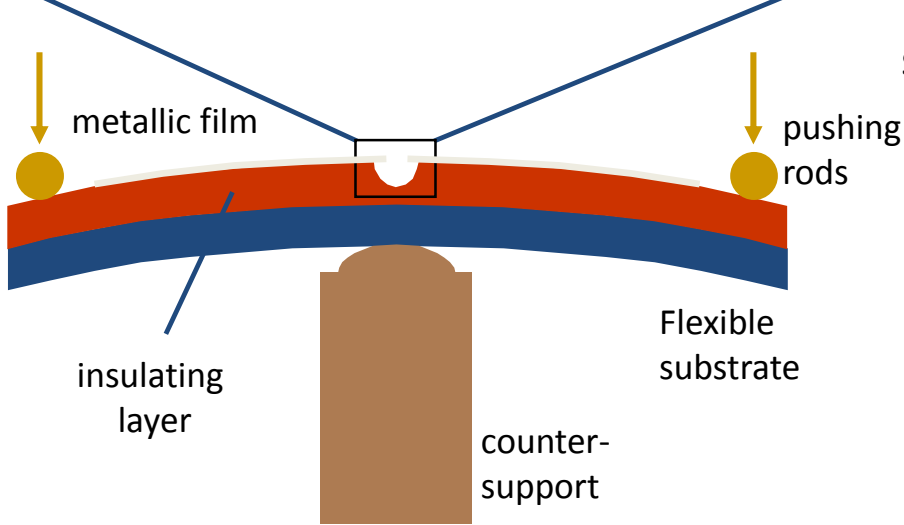
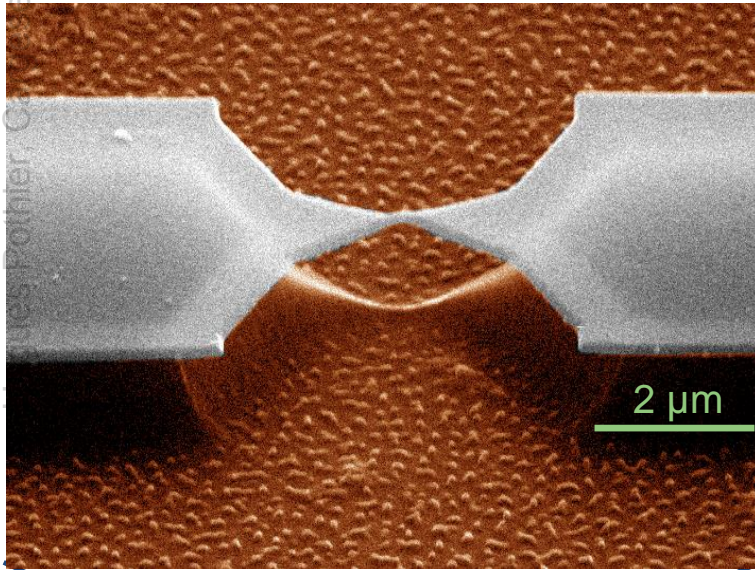
$L/\xi=100$



# Atomic contacts

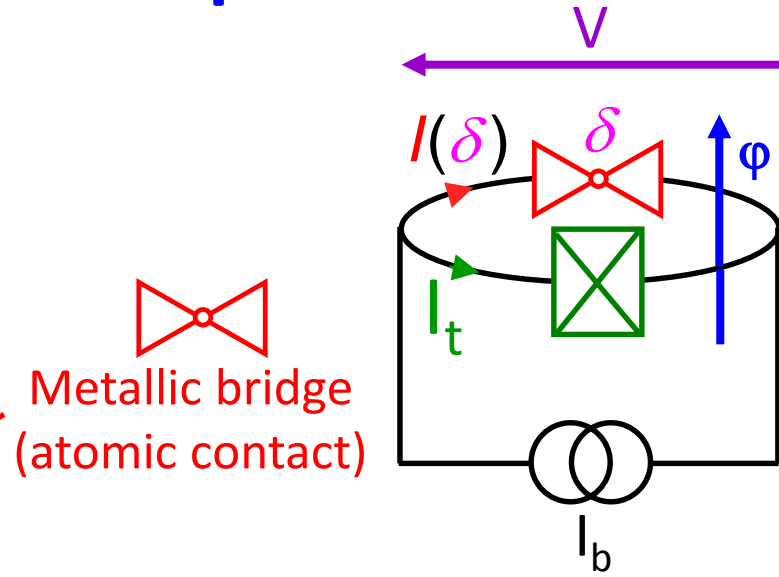
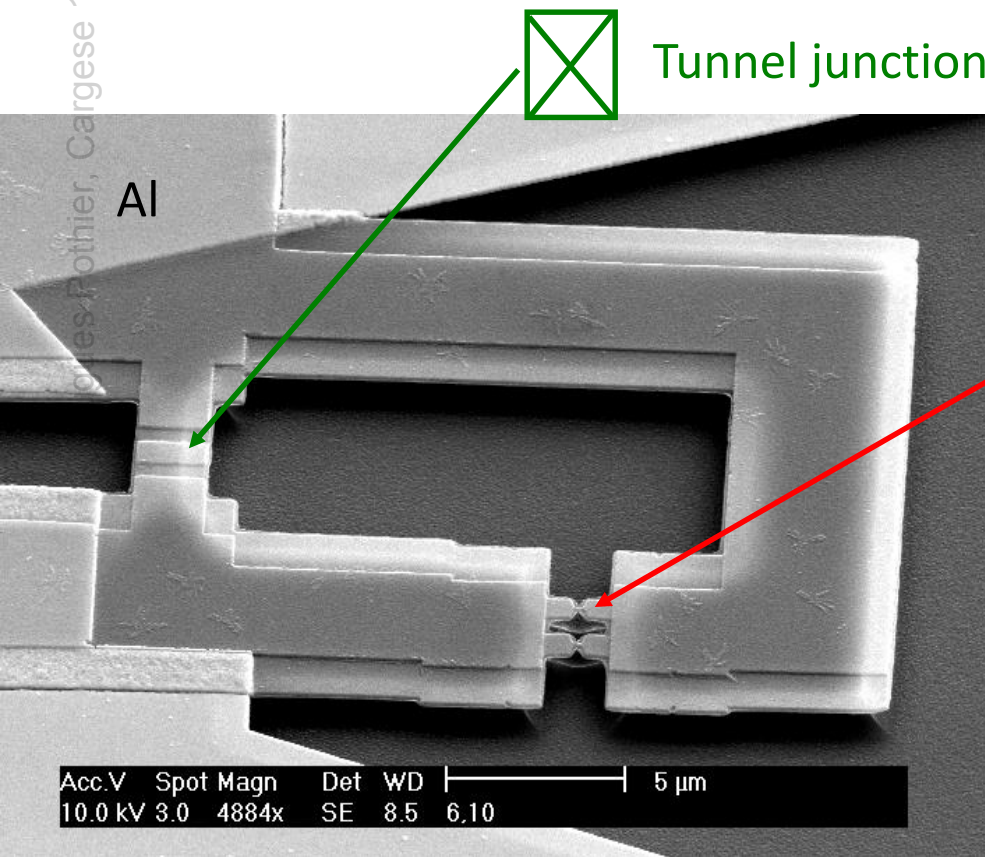


# Atomic contacts



11/2016

# Measurement of the current-phase relation



$$30 \text{ nA} \approx I_{\text{max}} \ll I_t^0 \approx 0.7 \mu\text{A}$$

# Measurement of the current-phase relation

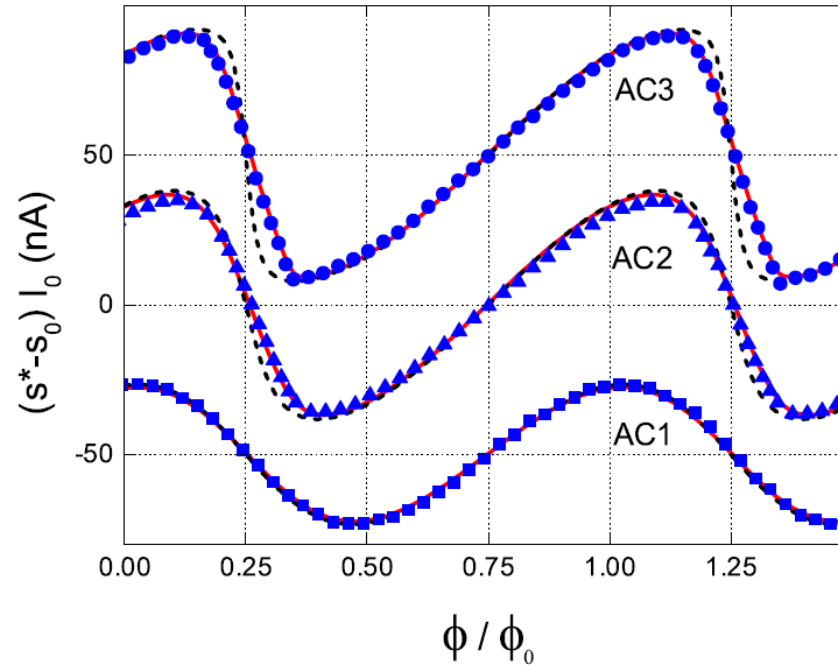
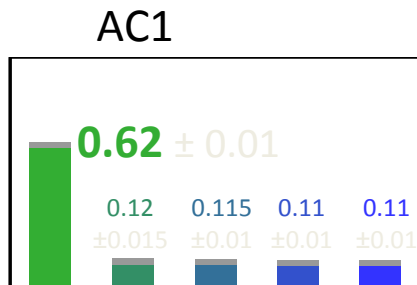
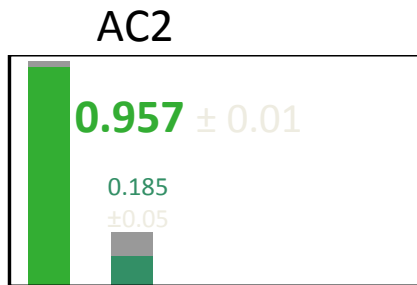
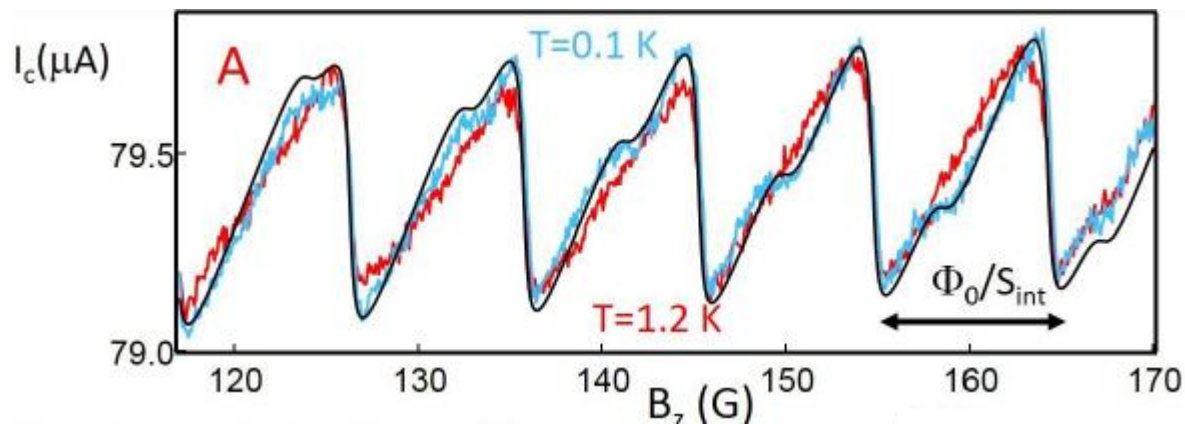
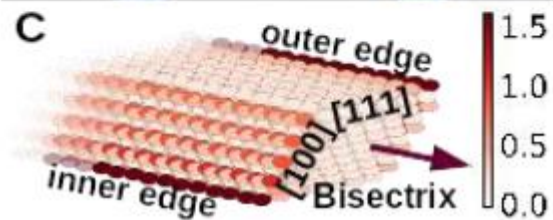
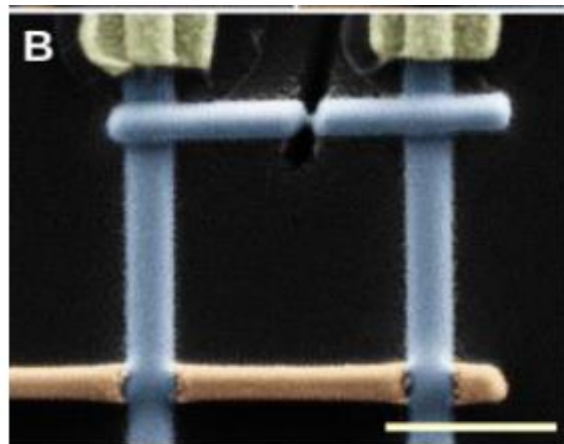


FIG. 3 (color online). Symbols: measured switching current  $[s^*(\varphi) - s_0]I_0$  as a function of applied flux  $\phi/\phi_0$ , for the three SQUIDS corresponding to the contacts of Fig. 2. Curves AC3 and AC1 shifted for clarity. Dashed curves: predicted ground state current-phase relation  $I_{\{\tau_i\}}^-(\delta)$ . Full lines: predictions of resistively shunted SQUID theory at  $T_{\text{esc}} = 130$  mK on the basis of Eq. (1). The transmission sets indicated in Fig. 2 caption have been used for both theories.

# Current-phase relation at $\tau=1$





# MAR

*N. Agraït et al. / Physics Reports 377 (2003) 81–279*

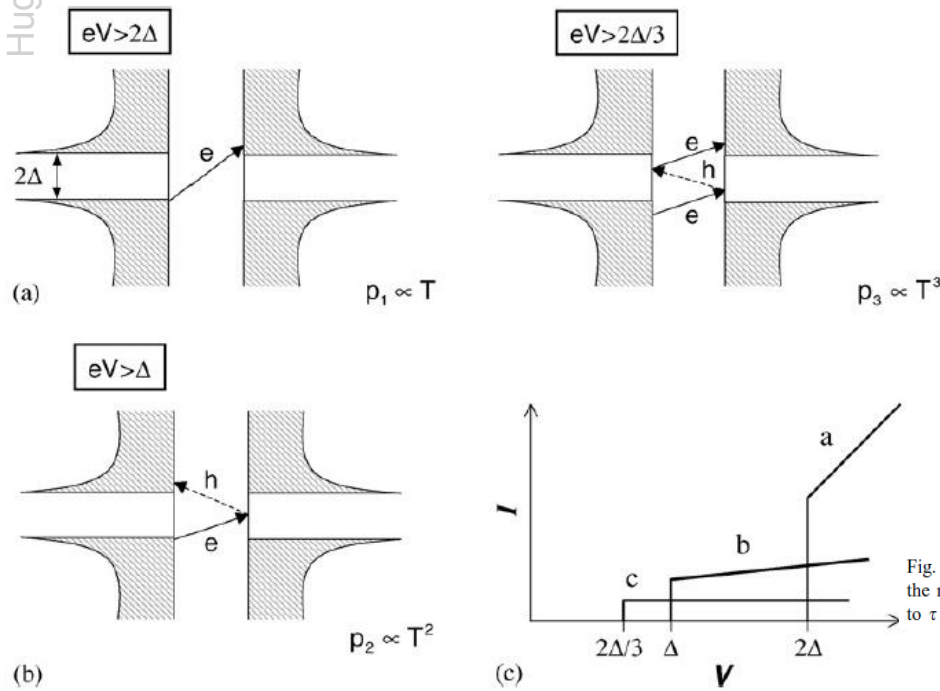


Fig. 23. Schematic explanation of the subgap structure in superconducting contacts.

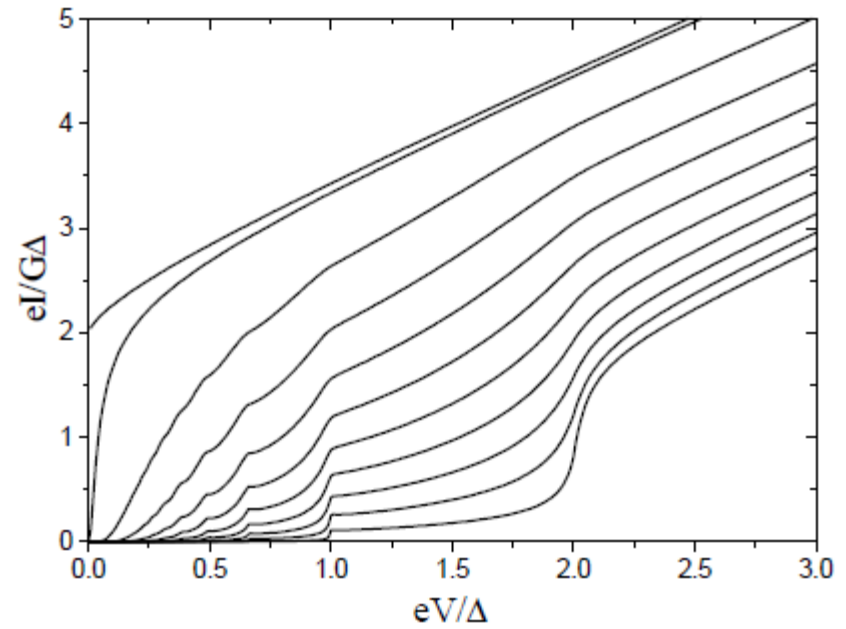
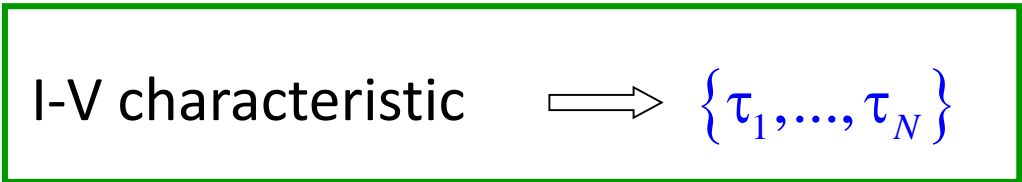
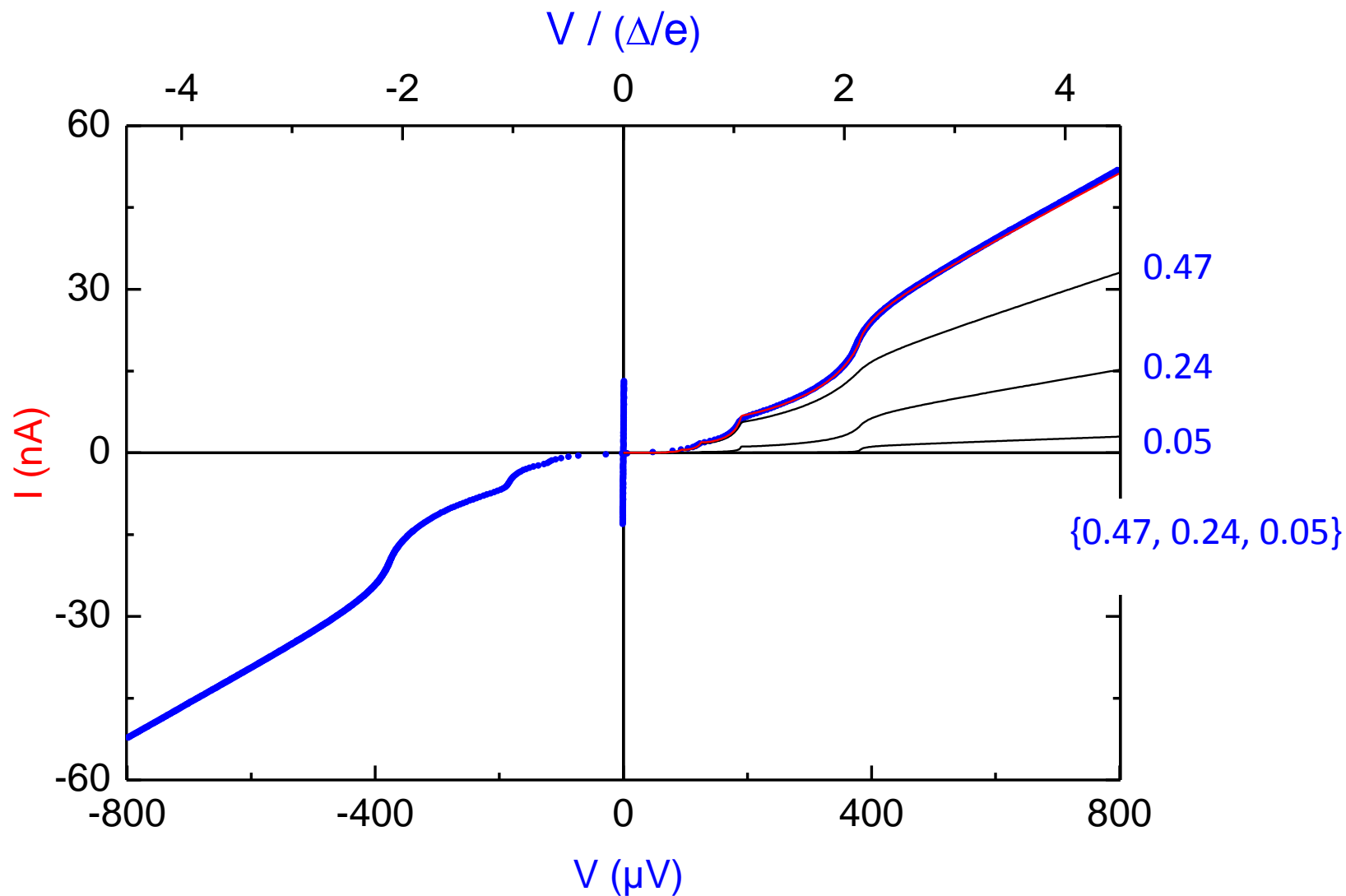


Fig. 24. The zero-temperature dc component of the current in a single mode superconducting contact, where the values of the normal transmission increase from  $\tau = 0.1$  in the lower curve by increments of 0.1. The upper two curves correspond to  $\tau = 0.99$  and 1. Reprinted with permission from [41]. © 1996 American Physical Society.

# DETERMINATION OF TRANSMISSIONS



# Determination of transmissions $\{\tau_1, \dots, \tau_M\}$

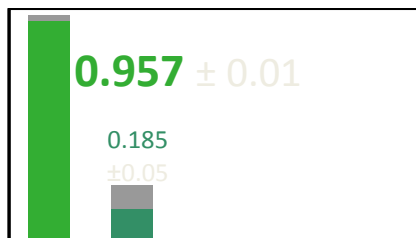
method: Scheer *et al.* 1997

Transmissions

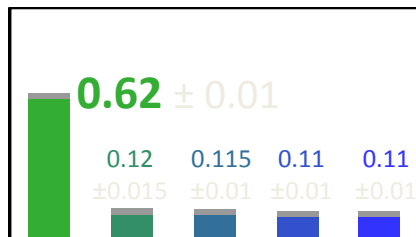
AC3



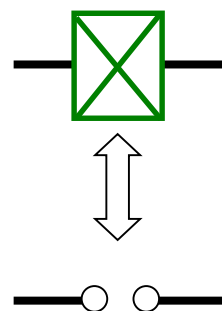
AC2



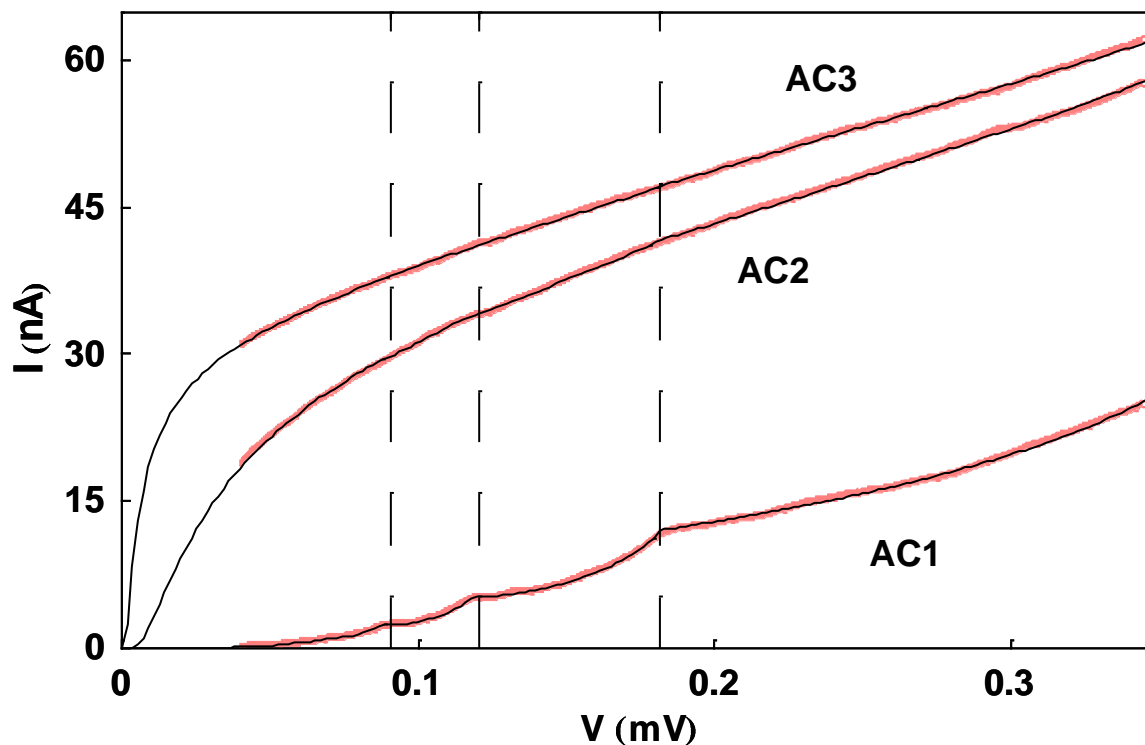
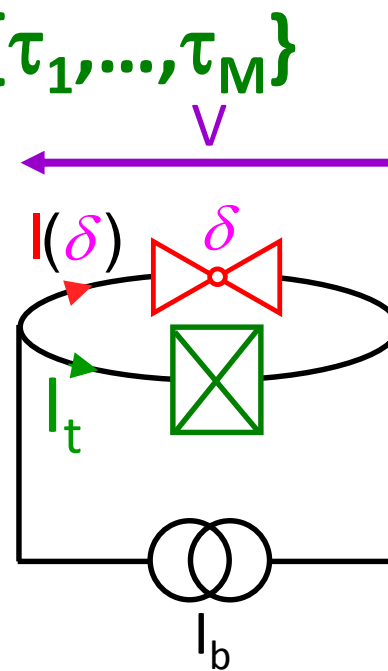
AC1



Measure  $I(V)$

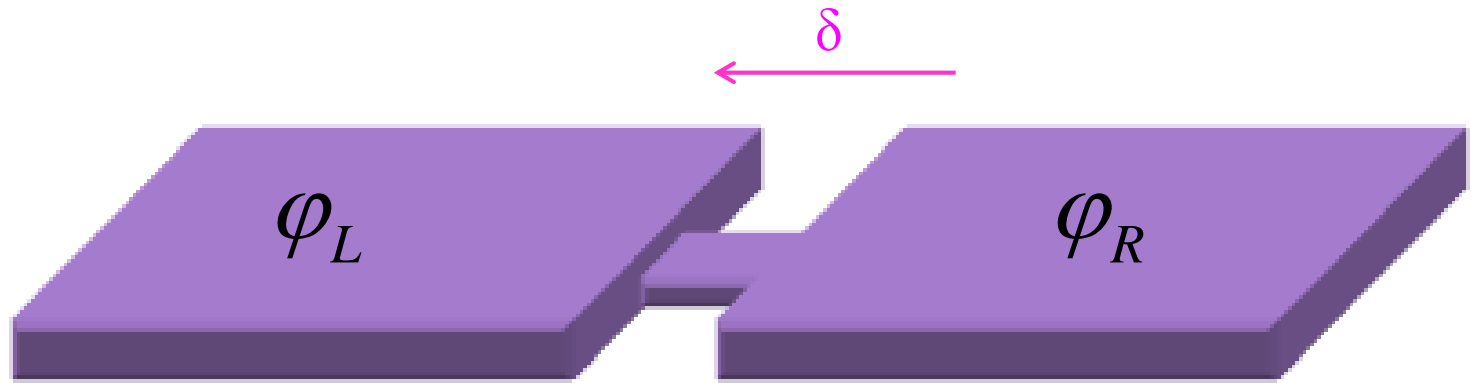


$$\frac{2\Delta}{4e} \quad \frac{2\Delta}{3e} \quad \frac{2\Delta}{2e}$$



# ELEMENTARY WEAK LINK

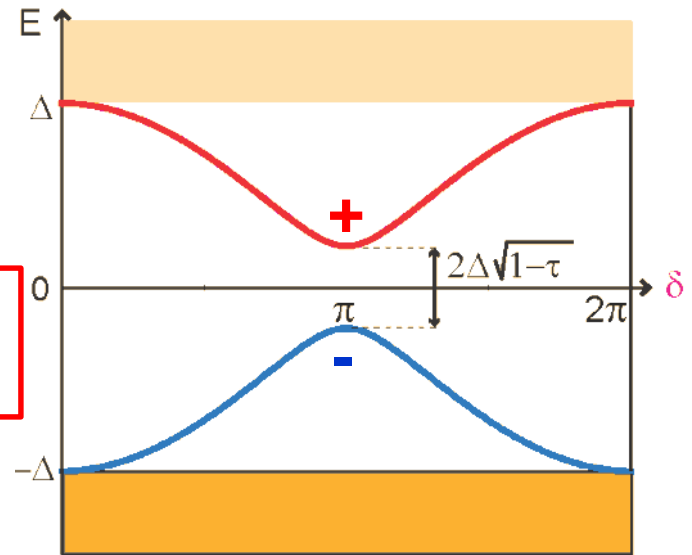
$$\tau < 1$$



Bound states:  $E = \pm E_A$

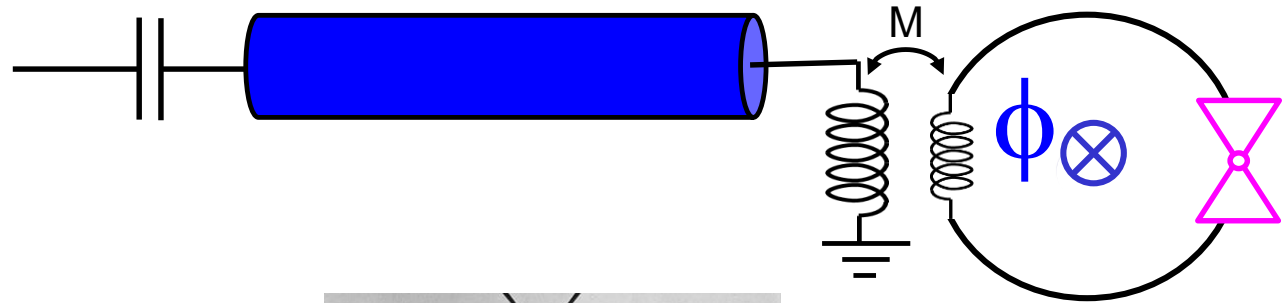
$$E_A = \Delta \sqrt{1 - \tau \sin^2(\delta/2)}$$

Beenakker 1991

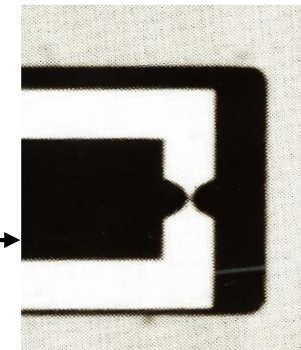
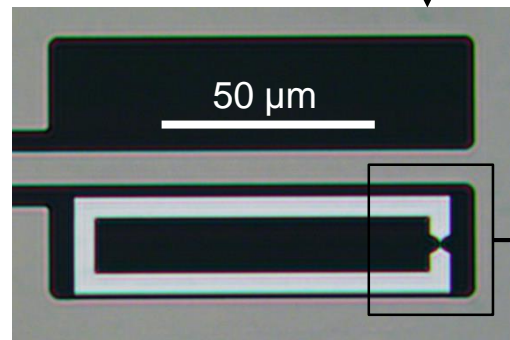
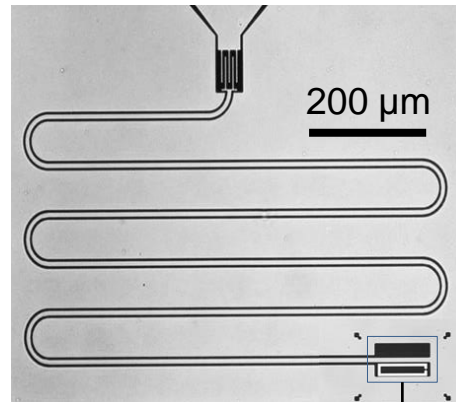
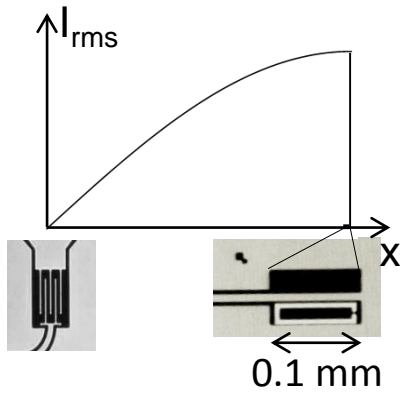




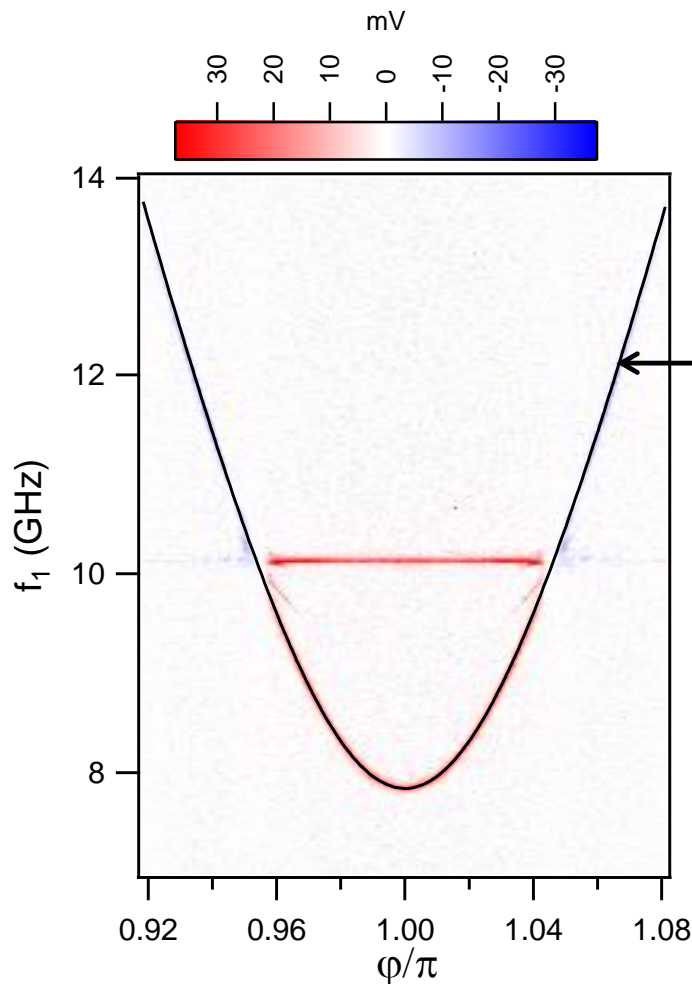
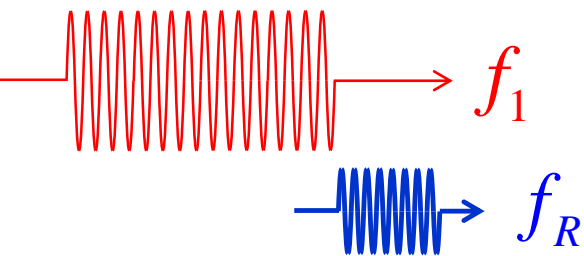
# ATOMIC SQUID COUPLED TO A COPLANAR MICROWAVE RESONATOR



$\lambda/4$  on Kapton at 10GHz



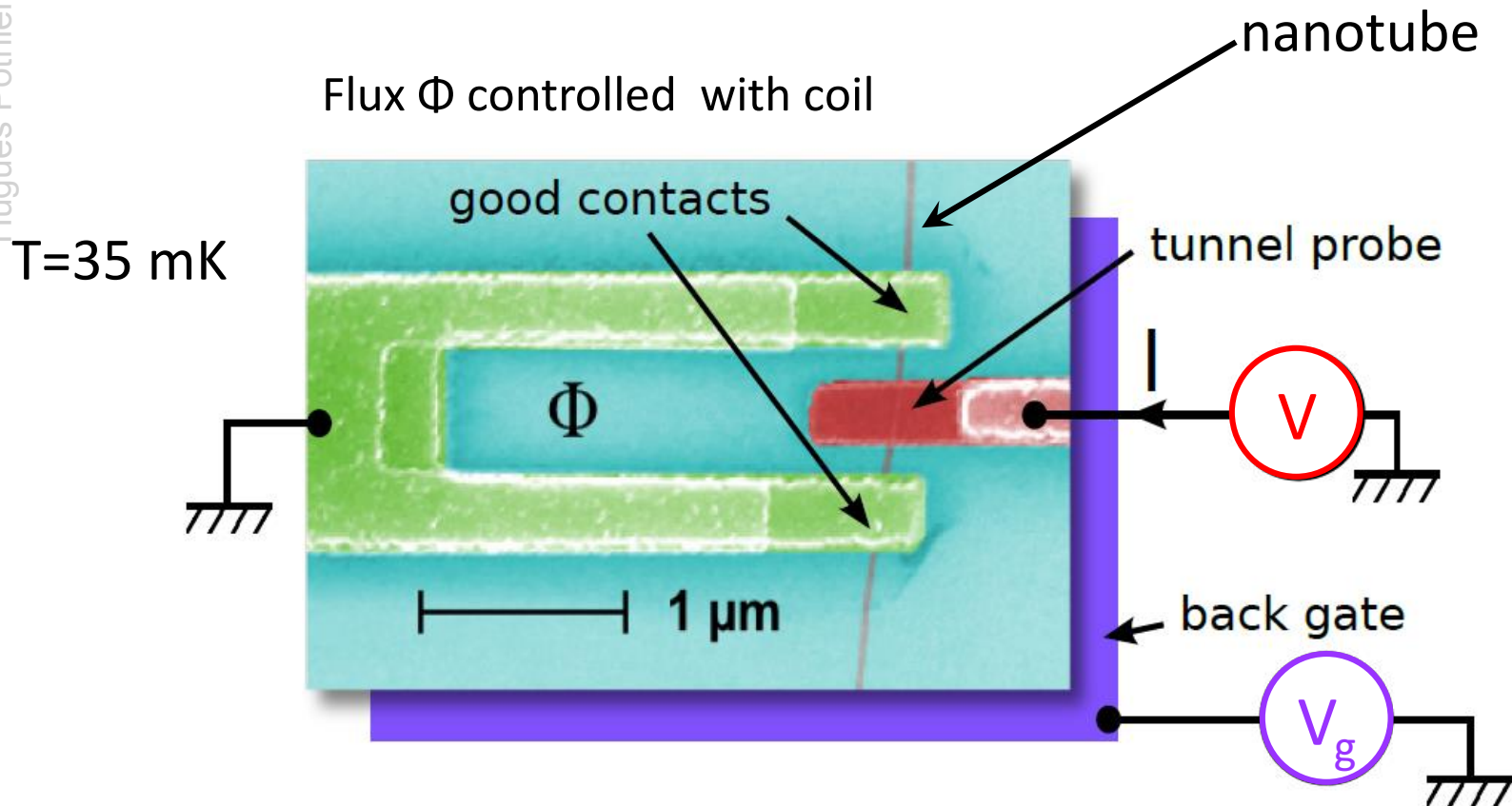
# SPECTROSCOPY OF ANDREEV TRANSITION



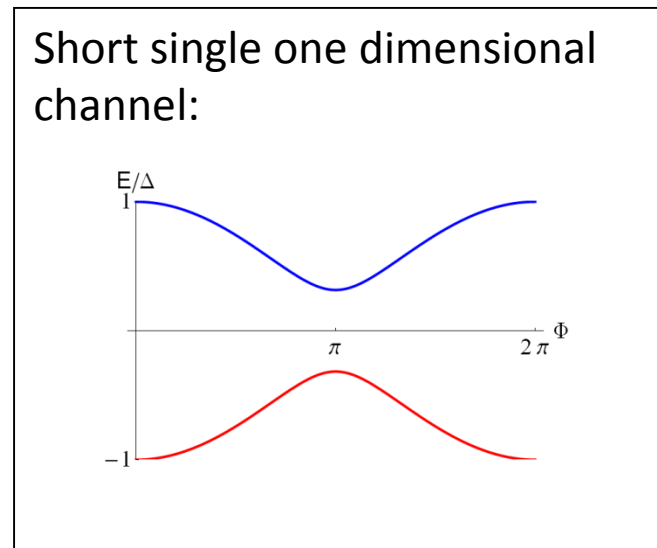
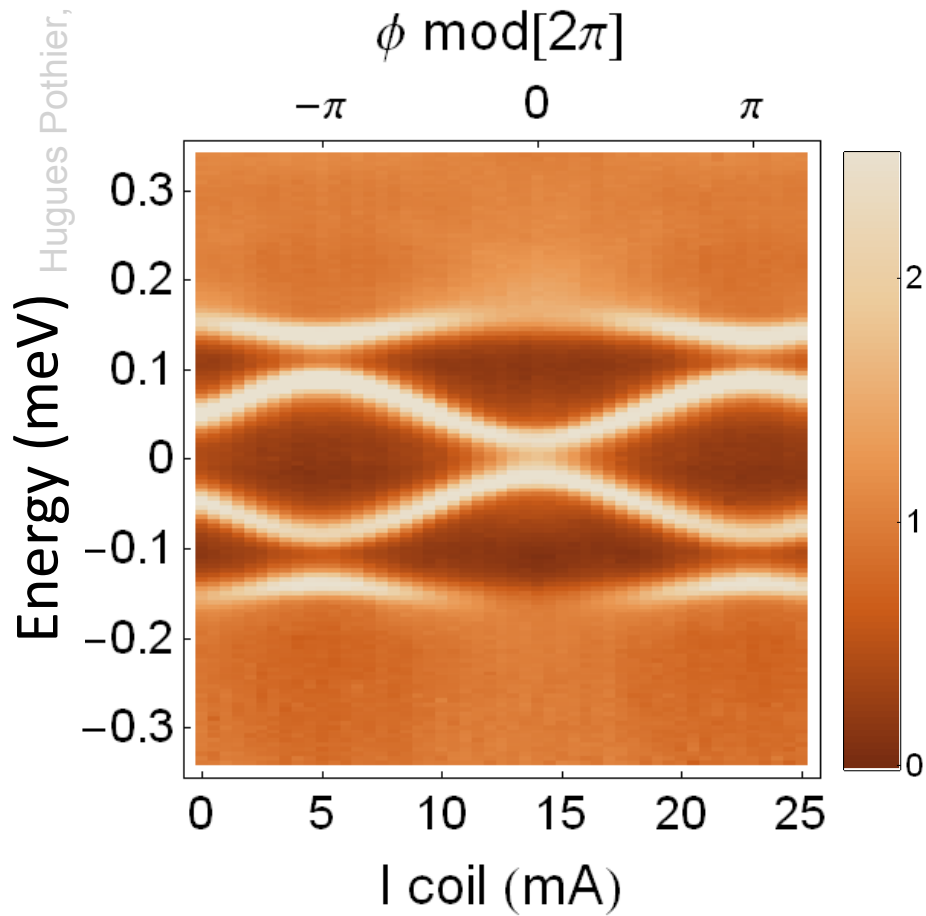
$$2E_A = 2\Delta\sqrt{1 - \tau \sin^2(\varphi/2)}$$

$$\tau = 0.99217$$

# TUNNEL SPECTROSCOPY OF ABS IN CARBON NANOTUBES



# TDOS flux dependence





# PARITY EFFECTS

# Superconducting box

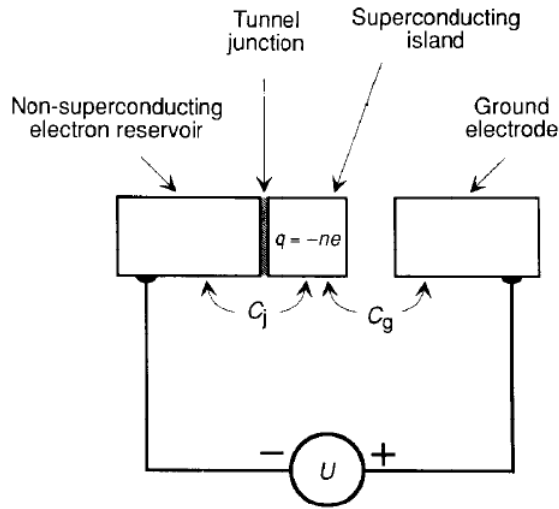
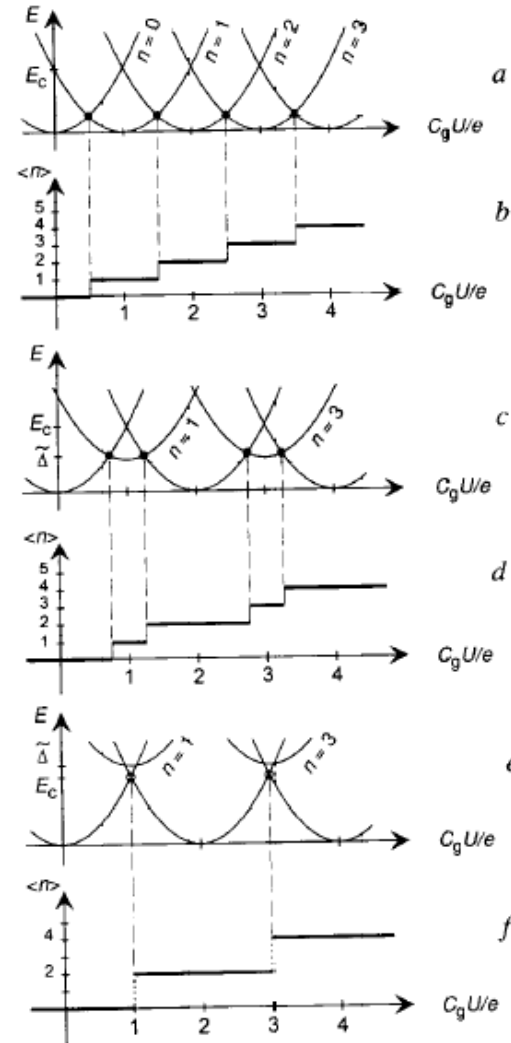


FIG. 1 Schematic diagram of the experiment. The superconducting island is a  $30 \times 110 \times 2,260$  nm Al strip containing  $\sim 10^9$  atoms.



## Two-electron superconductor

P. Lafarge, P. Joyez, D. Esteve, C. Urbina & M. H. Devoret

NATURE · VOL 365 · 30 SEPTEMBER 1993

# Superconducting box

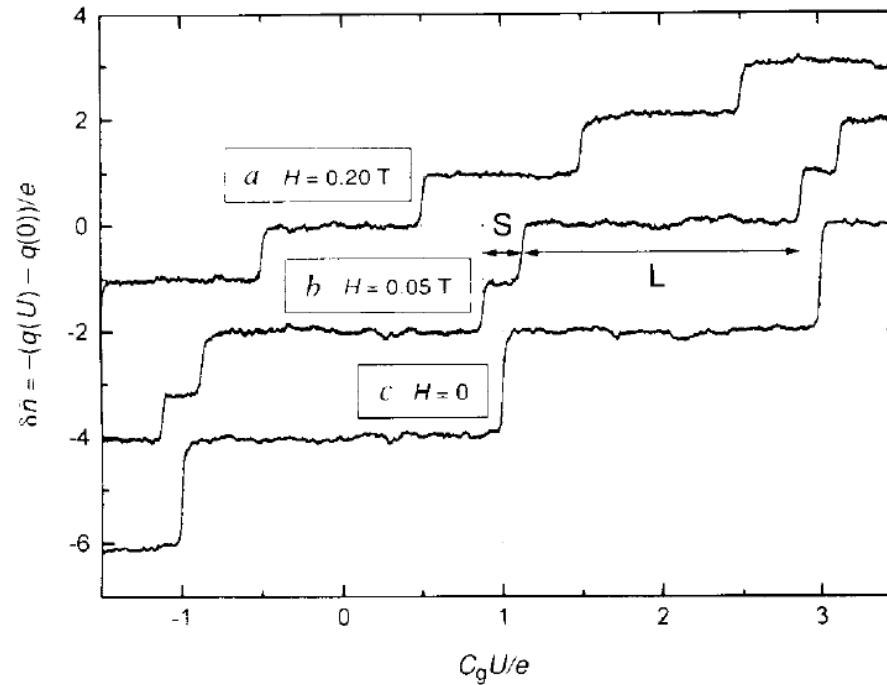
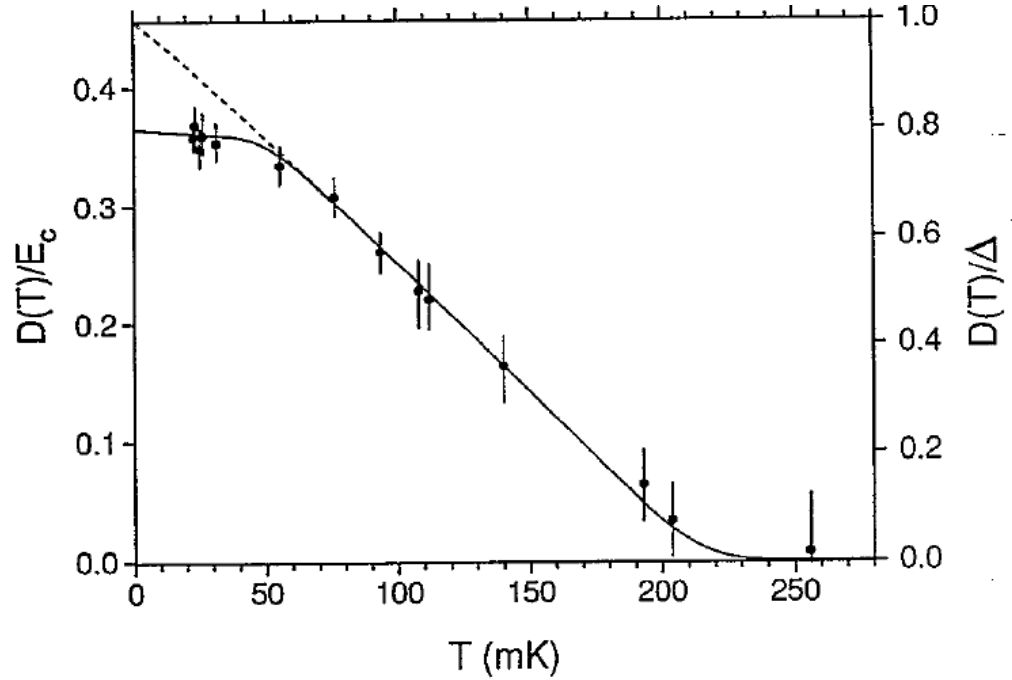


FIG. 2 Variations of the average value  $\bar{q}$ , in units of  $e$ , with the polarization  $C_g U/e$ , at  $T = 28$  mK, for three values of the magnetic field  $H$  applied to the sample. *a*, Non-superconducting island. *b* and *c*, Superconducting island. For clarity, *b* and *c* have been offset vertically by 2 and 4 units, respectively. The letters *L* and *S* refer to the long and short steps, respectively.

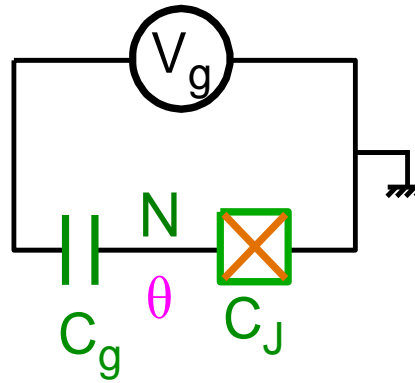
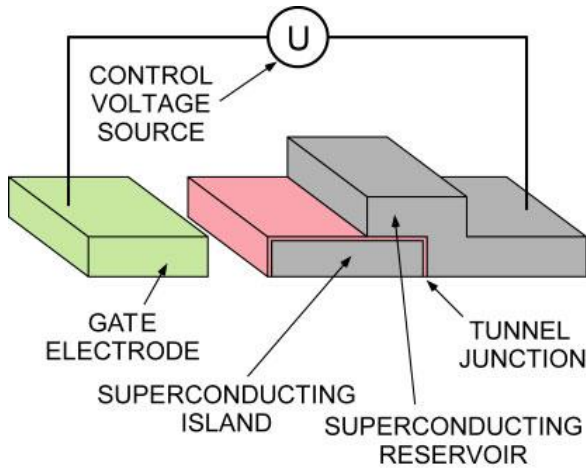
# Measured free energy difference $D(T)$





# COOPER PAIR BOX

# The single Cooper pair box



1 degree of freedom:

$$[\hat{\theta}, \hat{N}] = i$$

2 characteristic energies:

Hamiltonian:

$$\hat{H} = E_C (\hat{N} - N_g)^2 - E_J \cos \hat{\theta}$$

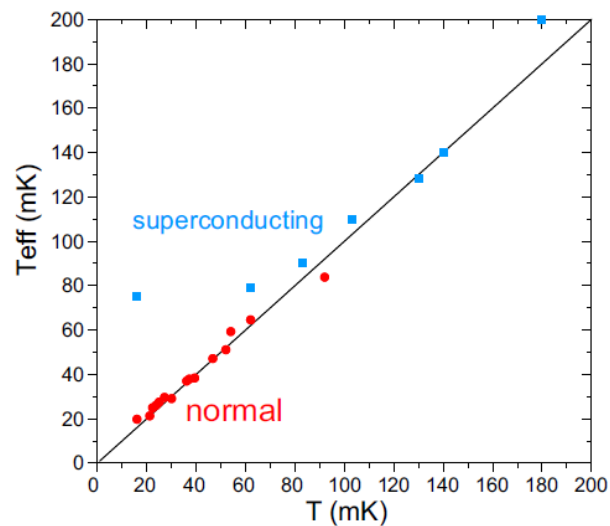
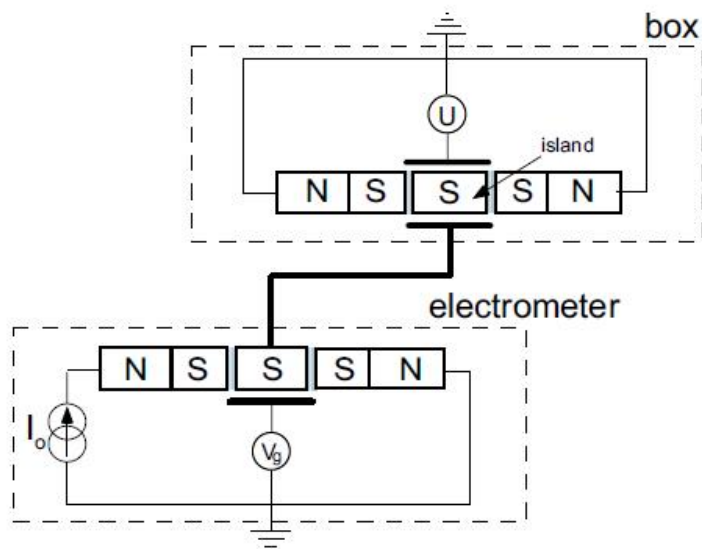
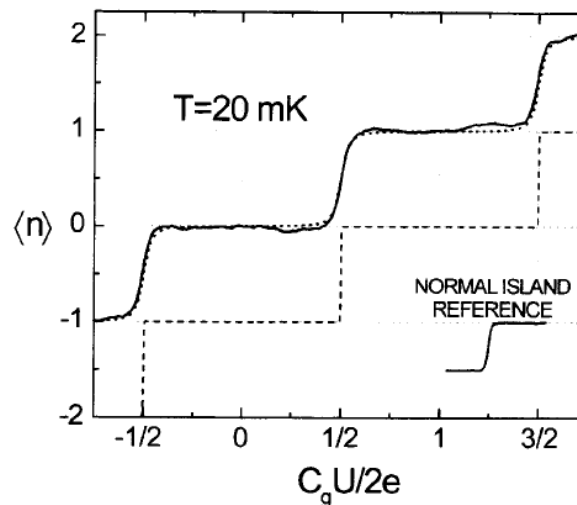
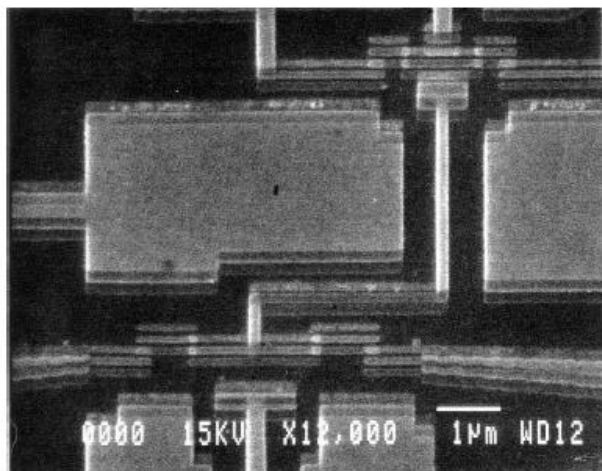
$$E_C = \frac{(2e)^2}{2(C_g + C_J)}$$

$$E_J = \frac{h\Delta}{8e^2 R_t}$$

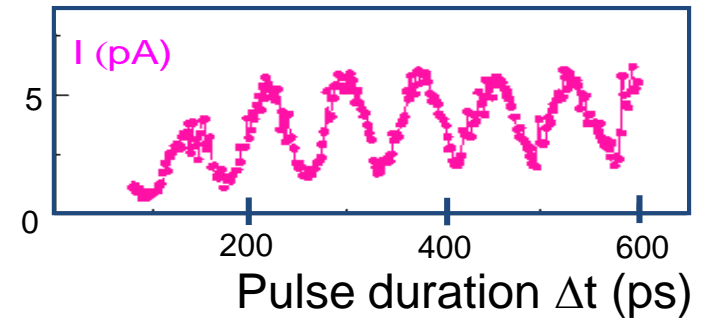
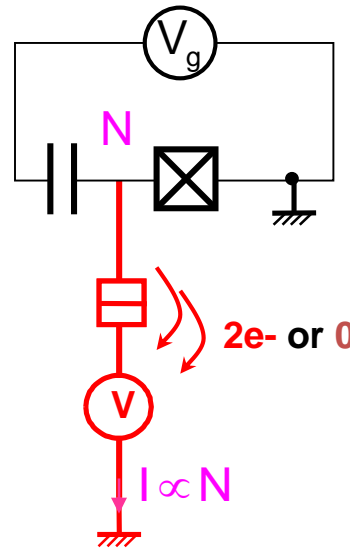
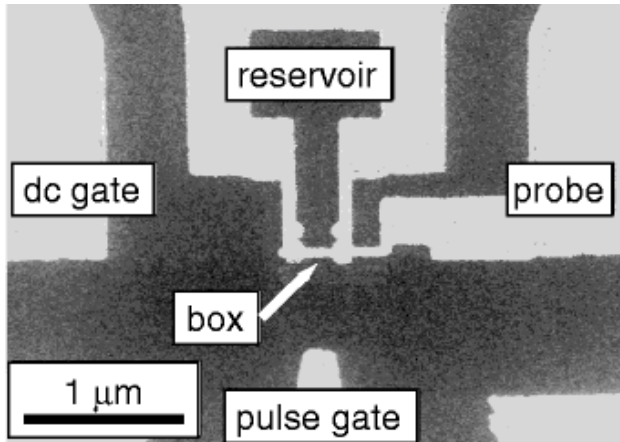
$$N_g = C_g V_g / 2e$$

reduced gate charge

# Measuring the Single Cooper Pair Box



# Manipulating the charge state in a Cooper pair box



but poor coherence,  
& no single-shot readout

## Coherent control of macroscopic quantum states in a single-Cooper-pair box

Y. Nakamura\*, Yu. A. Pashkin† & J. S. Tsai\*

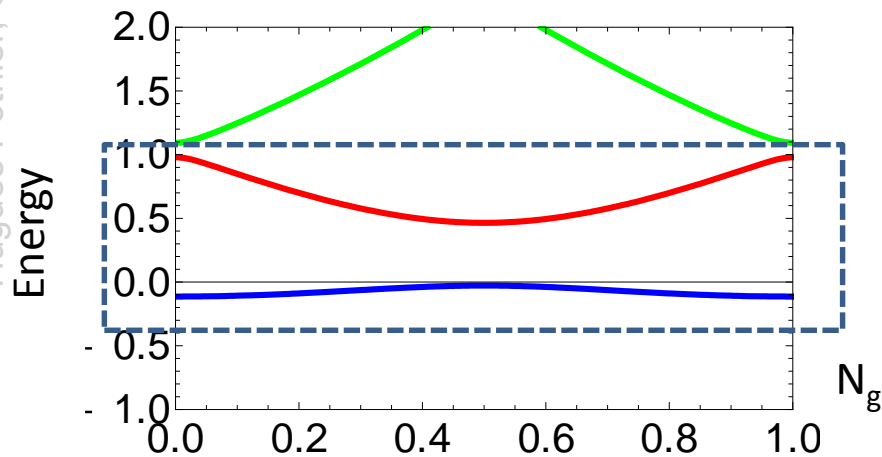
\*NEC Fundamental Research Laboratories, Tsukuba, Ibaraki 305-8051, Japan

†CREST, Japan Science and Technology Corporation (JST), Kawaguchi,

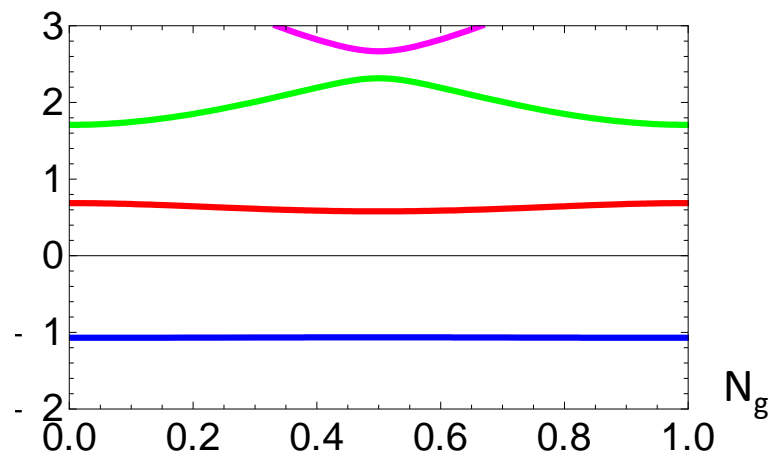
Saitama 332-0012, Japan

From  $E_J(\Phi) < E_C$  to  $E_J(\Phi) > E_C$

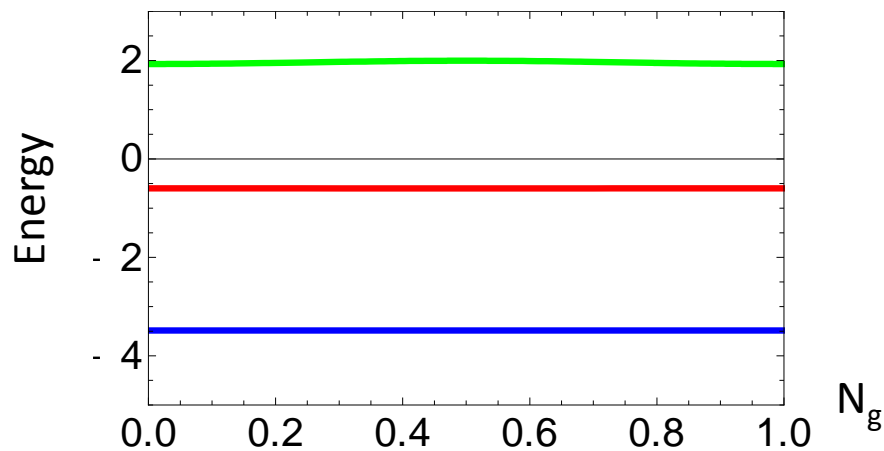
$E_J/E_C=0.5$



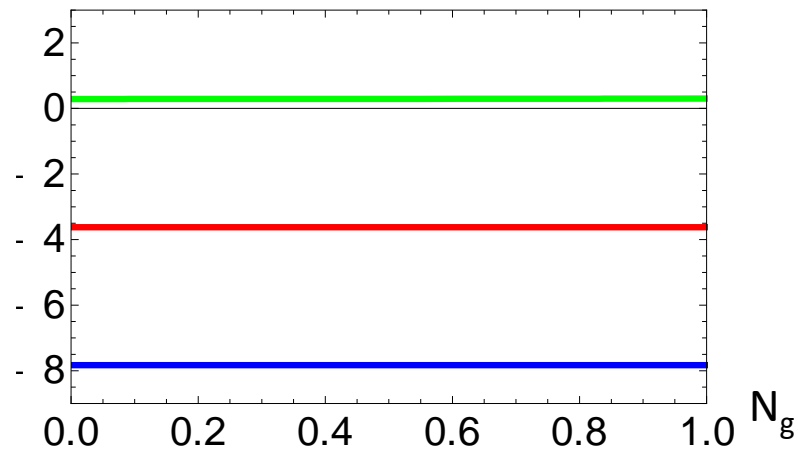
$E_J/E_C=2$



$E_J/E_C=5$



$E_J/E_C=10$





# TRANSMON QUBIT

